

ON ZERO FREE REGIONS FOR DERIVATIVES OF A POLYNOMIAL

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ABSTRACT. Let P_n denote the set of polynomials of the form

$$p(z) = (z - a)^m \prod_{k=1}^{n-m} (z - z_k),$$

with $|a| \leq 1$ and $|z_k| \geq 1$ for $1 \leq k \leq n - m$. For the polynomials of the form $p(z) = z \prod_{k=1}^{n-1} (z - z_k)$, with $|z_k| \geq 1$, where $1 \leq k \leq n - 1$, Brown [2] stated the problem “Find the best constant C_n such that $p'(z)$ does not vanish in $|z| < C_n$ ”. He also conjectured in the same paper that $C_n = \frac{1}{n}$. This problem was solved by Aziz and Zarger [1]. In this paper, we obtain the results which generalizes the results of Aziz and Zarger.

1. INTRODUCTION AND STATEMENT OF RESULTS

Let $p(z) = \prod_{k=1}^n (z - z_k)$ be a complex polynomial of degree n . The classical Gauss-Lucas theorem states that every critical point of a complex polynomial p of degree at least 2 lies in the convex hull of its zeros. This theorem has been further investigated and developed. About the location of critical point relative to each individual zero, a possible answer is given by the famous conjecture known in literature as Sendov’s conjecture.

Conjecture 1 (Sendov’s Conjecture). If all the zeros of a polynomial $p(z)$ lie in $|z| \leq 1$, then for any zero z_0 of p , the disc $|z - z_0| \leq 1$ contains at least one critical point of p .

This conjecture has attracted much attention. About 100 papers have been published related to this conjecture. This conjecture has so far been verified for general

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polynomials of degree less than or equal to 8. However the problem is still unproved in general.

In connection with this conjecture, Brown [2] observed that, if $p(z) = z(z - 1)^{n-1}$, then $p'(\frac{1}{n}) = 0$ and posed the following problem.

“Let $p(z) = z \prod_{k=1}^{n-1} (z - z_k)$, with $|z_k| \geq 1$, where $1 \leq k \leq n - 1$. Find the best constant C_n such that $p'(z)$ does not vanish in $|z| < C_n$ ”.

However, Brown himself conjectured that $C_n = \frac{1}{n}$. This problem has been settled by Aziz and Zarger [1], in fact they proved the following.

Theorem 1.1. *If $p(z) = z \prod_{k=1}^{n-1} (z - z_k)$ is a polynomial of degree n , with $|z_k| \geq 1$, where $1 \leq k \leq n - 1$, then $p'(z)$ does not vanish in $|z| < \frac{1}{n}$.*

As a generalization of Theorem 1.1, N. A. Rather and F. Ahmad [3] have proved the following result.

Theorem 1.2. *Let $p(z) = (z - a) \prod_{k=1}^{n-1} (z - z_k)$ with $|a| \leq 1$ be a polynomial of degree n with $|a| \leq 1$ and $|z_k| \geq 1$ for $1 \leq k \leq n - 1$, then $p'(z)$ does not vanish in the region*

$$\left| z - \left(\frac{n-1}{n} \right) a \right| < \frac{1}{n}.$$

The result is best possible as is shown by the polynomial

$$p(z) = (z - a)(z - e^{i\alpha})^{n-1}, \quad 0 \leq \alpha < 2\pi.$$

N. A. Rather and F. Ahmad also proved the following result in the same paper.

Theorem 1.3. *Let $p(z) = (z - a)^m \prod_{k=1}^{n-m} (z - z_k)$ be a polynomial of degree n with $|a| \leq 1$ and $|z_k| \geq 1$ for $1 \leq k \leq n - m$, then $p'(z)$ has $(m - 1)$ fold zero at $z = a$ and remaining $(n - m)$ zeros of $p'(z)$ lie in the region*

$$\left| z - \left(\frac{n-m}{n} \right) a \right| \geq \frac{m}{n}.$$

The result is best possible as is shown by the polynomial

$$p(z) = (z - a)^m (z - e^{i\alpha})^{n-m}, \quad 0 \leq \alpha < 2\pi.$$

Zarger and Manzoor [4] have extended Theorem 1.1 to the second derivative $p''(z)$ of a polynomial of the form $p(z) = z^m \prod_{k=1}^{n-m} (z - z_k)$, with $|z_k| \geq 1$ for $1 \leq k \leq n - m$. In fact they proved the following.

Theorem 1.4. *If $p(z) = z^m \prod_{k=1}^{n-m} (z - z_k)$ with $|z_k| \geq 1$ for $1 \leq k \leq n - m$, then the polynomial $p''(z)$ does not vanish in $0 < |z| < \frac{m(m-1)}{n(n-1)}$.*

Zarger and Manzoor [4] also obtained the following result for the polynomial $p^{(m)}(z)$, $m \geq 1$.

Theorem 1.5. *If $p(z) = z^m \prod_{k=1}^{n-m} (z - z_k)$ is a polynomial of degree n with $|z_k| \geq 1$ for $1 \leq k \leq n - m$, then the polynomial $p^{(m)}(z)$, $m \geq 1$, does not vanish in $|z| < \frac{m!}{n(n-1)\dots(n-m+1)}$.*

In this paper, we first prove the following theorem which generalize the result of Theorem 1.4.

Theorem 1.6. *Let $p(z) = (z - a)^m \prod_{k=1}^{n-m} (z - z_k)$ be a polynomial of degree n with $|a| \leq 1$, and $|z_k| \geq 1$ for $1 \leq k \leq n - m$, then $p''(z)$ has $(m - 2)$ fold zero at $z = a$ and remaining $(n - m)$ zeros lie in the region*

$$\left| z - \left(1 - \frac{m(m - 1)}{n(n - 1)} \right) a \right| \geq \frac{m(m - 1)}{n(n - 1)}.$$

Proof. We can write

$$p(z) = (z - a)^m Q(z),$$

where $Q(z) = \prod_{k=1}^{n-m} (z - z_k)$, then by Theorem 1.3, the polynomial

$$p'(z) = (z - a)^{m-1} R(z),$$

where $R(z) = (z - a)Q'(z) + mQ(z)$ has $(m - 1)$ fold zero at $z = a$ and remaining $(n - m)$ zeros lie in the region

$$\left| z - \left(\frac{n - m}{n} \right) a \right| \geq \frac{m}{n}.$$

Now, consider the polynomial

$$(1.1) \quad S(z) = p' \left(\frac{m}{n} z + \frac{n - m}{n} a \right)$$

or

$$S(z) = \left(\frac{m}{n} \right)^{m-1} (z - a)^{m-1} R \left(\frac{m}{n} z + \frac{n - m}{n} a \right),$$

then $S(z)$ is a polynomial of degree $n - 1$ with $(m - 1)$ fold zero at $z = a$ and remaining $(n - m)$ zeros lie in $|z| \geq 1$.

Now, applying Theorem 1.3 to the polynomial $S(z)$, the derivative $S'(z)$ has $(m - 2)$ fold zero at $z = a$ and remaining $(n - m)$ zeros lie in the region

$$\left| z - \left(\frac{(n - 1) - (m - 1)}{n - 1} \right) a \right| \geq \frac{m - 1}{n - 1},$$

which is equivalent to

$$\left| z - \left(\frac{n - m}{n - 1} \right) a \right| \geq \frac{m - 1}{n - 1}.$$

Replacing z by $\frac{n}{m} z + \left(\frac{m-n}{m} \right) a$, in equation (1.1) and differentiating, we obtain

$$p''(z) = (z - a)^{m-2} T(z),$$

where $T(z) = (z - a)R'(z) + (m - 1)R(z)$.

Applying above, we see $p''(z)$ has $(m - 2)$ fold zero at $z = a$ and remaining $(n - m)$ zeros lie in the region

$$\left| z - \left(1 - \frac{m(m - 1)}{n(n - 1)} \right) a \right| \geq \frac{m(m - 1)}{n(n - 1)}.$$

This completes the proof. □

Remark 1.1. For $a = 0$, it reduces to Theorem 1.4.

Our next result generalizes Theorem 1.5 to the polynomial of the form $p(z) = (z - a)^m \prod_{k=1}^{n-m} (z - z_k)$ with $|a| \leq 1$ and $|z_k| \geq 1$ for $1 \leq k \leq n - m$.

Theorem 1.7. *If $p(z) = (z - a)^m \prod_{k=1}^{n-m} (z - z_k)$ be a polynomial of degree n with $|a| \leq 1$ and $|z_k| \geq 1$ for $1 \leq k \leq n - m$, then the polynomial $p^{(m)}(z)$, $m \geq 1$, has all its zeros in the region*

$$\left| z - \left(1 - \frac{m!}{n(n-1) \cdots (n-m+1)} \right) a \right| \geq \frac{m!}{n(n-1) \cdots (n-m+1)}.$$

Proof. We can write

$$p(z) = (z - a)^m \prod_{k=1}^{n-m} (z - z_k)$$

or

$$p(z) = (z - a)^m Q(z),$$

where $Q(z) = \prod_{k=1}^{n-m} (z - z_k)$, $|z_k| \geq 1$, $1 \leq k \leq n - m$.

From the proof of Theorem 1.6, we can write

$$p''(z) = (z - a)^{m-2} T(z),$$

where $T(z) = (z - a)R'(z) + (m - 1)R(z)$. Also, $p''(z)$ has $(m - 2)$ fold zero at $z = a$ and remaining $(n - m)$ zeros lie in

$$\left| z - \frac{n(n-1) - m(m-1)}{n(n-1)} a \right| \geq \frac{m(m-1)}{n(n-1)}.$$

Now, consider the polynomial

$$(1.2) \quad U(z) = p'' \left(\frac{m(m-1)}{n(n-1)} z + \frac{n(n-1) - m(m-1)}{n(n-1)} a \right)$$

or

$$U(z) = \left(\frac{m(m-1)}{n(n-1)} \right)^{m-2} (z - a)^{m-2} T \left(\frac{m(m-1)}{n(n-1)} z + \frac{n(n-1) - m(m-1)}{n(n-1)} a \right).$$

Then $U(z)$ has $(m - 2)$ fold zero at $z = a$ and remaining $(n - m)$ zeros lie in $|z| \geq 1$.

Again, applying Theorem 1.3 to $U(z)$, which is a polynomial of degree $n - 2$, the derivative $U'(z)$ has $(m - 3)$ fold zero at $z = a$ and remaining $(n - m)$ zeros lie in

$$\left| z - \left(\frac{n-2 - (m-2)}{n-2} \right) a \right| \geq \frac{m-2}{n-2},$$

which is equivalent to

$$\left| z - \left(\frac{n-m}{n-2} \right) a \right| \geq \frac{m-2}{n-2}.$$

Replacing z by $\frac{n(n-1)}{m(m-1)} z + \frac{m(m-1) - n(n-1)}{m(m-1)} a$, in (1.2) and differentiating, we obtain

$$p'''(z) = (z - a)^{m-3} V(z),$$

where $V(z) = (z - a)T'(z) + (m - 2)T(z)$ has $(m - 3)$ fold zero at $z = a$ and remaining $(n - m)$ zeros lie

$$\left| z - \left(1 - \frac{m(m-1)(m-2)}{n(n-1)(n-2)} \right) a \right| \geq \frac{m(m-1)(m-2)}{n(n-1)(n-2)}.$$

Proceeding similarly, for any positive integer $m = 1, 2, \dots, n - 1$, we see that the polynomial $p^{(m)}(z)$ has all its zeros in the region

$$\left| z - \left(1 - \frac{m!}{n(n-1)\cdots(n-m+1)} \right) a \right| \geq \frac{m!}{n(n-1)\cdots(n-m+1)}.$$

This completes the proof. \square

Remark 1.2. For $a = 0$, it reduces to Theorem 1.5.

Remark 1.3. For $m = 1$, it reduces to Theorem 1.2.

Remark 1.4. For $a = 0$ and $m = 1$, it reduces to the result of Aziz and Zarger.

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