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WHEN IS A BI-JORDAN HOMOMORPHISM BI-HOMOMORPHISM?

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ABSTRACT. For Banach algebras \mathcal{A} and \mathcal{B} , we show that if $\mathcal{U} = \mathcal{A} \times \mathcal{B}$ is commutative (weakly commutative), then each bi-Jordan homomorphism from \mathcal{U} into a semisimple commutative Banach algebra \mathcal{D} is a bi-homomorphism. We also prove the same result for 3-bi-Jordan homomorphism with the additional hypothesis that the Banach algebra \mathcal{U} is unital.

1. INTRODUCTION

Let \mathcal{A} and \mathcal{B} be complex Banach algebras and $\varphi : \mathcal{A} \to \mathcal{B}$ be a linear map. Then φ is called an n-homomorphism if for all $a_1, a_2, \dots a_n \in \mathcal{A}$,

$$\varphi(a_1a_2...a_n) = \varphi(a_1)\varphi(a_2)...\varphi(a_n).$$

The concept of an n-homomorphism was studied for complex algebras by Hejazian et al. in [5]. A 2-homomorphism is then just a homomorphism, in the usual sense. One may refer to [1] for certain properties of 3-homomorphisms.

The notion of n-Jordan homomorphisms was dealt with firstly by Herstein in [6]. A linear map φ between Banach algebras \mathcal{A} and \mathcal{B} is called an n-Jordan homomorphism if

$$\varphi(a^n) = \varphi(a)^n, \quad a \in \mathcal{A}.$$

A 2-Jordan homomorphism is called simply a Jordan homomorphism.

It is obvious that each n-homomorphism is an n-Jordan homomorphism, but in general the converse is false. The converse statement may be true under certain conditions. For example, it is shown in [2] that every n-Jordan homomorphism between two commutative Banach algebras is an n-homomorphism for $n \in \{2, 3, 4\}$,

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and this result extended to the case n = 5 in [3]. Lee in [7] generalized this result and proved it for all $n \in \mathbb{N}$. See also [4] for another proof of Lee's theorem.

Zelazko in [9] has given a characterization of Jordan homomorphism, that we mention in the following (see also [8]). We refer to [10] for another approach to the same result.

Theorem 1.1. Suppose that \mathcal{A} is a Banach algebra, which need not be commutative, and suppose that \mathcal{B} is a semisimple commutative Banach algebra. Then each Jordan homomorphism $\varphi : \mathcal{A} \to \mathcal{B}$ is a homomorphism.

Also it is shown in [11] that Theorem 1.1 is valid for 3-Jordan homomorphism with the extra condition that the Banach algebra \mathcal{A} is unital. Some significant results concerning Jordan homomorphisms and their generalizations on Banach algebras obtained by the author in [12].

Throughout the paper, let $\mathcal{U} = \mathcal{A} \times \mathcal{B}$. Then \mathcal{U} is a Banach algebra for the multiplication

$$(a,b)(x,y) = (ax,by), \quad (a,b), (x,y) \in \mathcal{U},$$

and with norm

$$||(a,b)|| = ||a|| + ||b||.$$

Let \mathcal{D} be a complex Banach algebra. A bilinear map is a function $\varphi : \mathcal{U} \to \mathcal{D}$ such that for any $a \in \mathcal{A}$ the map $b \mapsto \varphi(a, b)$ is linear map from \mathcal{B} to \mathcal{D} , and for any $b \in \mathcal{B}$ the map $a \mapsto \varphi(a, b)$ is linear map from \mathcal{A} to \mathcal{D} .

A bilinear map φ is called an n-bi-homomorphism if for all $(a_i, b_i) \in \mathcal{U}$,

 $\varphi(a_1a_2...a_n, b_1b_2...b_n) = \varphi(a_1, b_1)\varphi(a_2, b_2)...\varphi(a_n, b_n),$

and it is called an n-bi-Jordan homomorphism if

$$\varphi(a^n, b^n) = \varphi(a, b)^n, \quad (a, b) \in \mathcal{U}.$$

The concept of an n-bi-Jordan homomorphism introduced by the author in [13]. A (2-bi-Jordan) 2-bi-homomorphism is called simply a (bi-Jordan) bi-homomorphism.

It is obvious that each n-bi-homomorphism is n-bi-Jordan homomorphism, but in general the converse is not true.

Recently, the author proved [13] that every bi-Jordan homomorphism from unital commutative Banach algebra \mathcal{U} into a semisimple commutative Banach algebra \mathcal{D} is a bi-homomorphism.

In this paper, we extended this result for nonunital Banach algebra \mathcal{U} . We also prove the same result for 3-bi-Jordan homomorphism with the additional hypothesis that the Banach algebra \mathcal{U} is unital.

2. CHARACTERIZATION OF BI-JORDAN HOMOMORHISMS

The following Theorem is the generalization of Theorem 4 of [13].

Theorem 2.1. Every bi-Jordan homomorphism φ from commutative Banach algebra \mathcal{U} into a semisimple commutative Banach algebra \mathcal{D} is a bi-homomorphism.

Proof. We first assume that $\mathcal{D} = \mathbb{C}$ and let $\varphi : \mathcal{U} \to \mathbb{C}$ be a bi-Jordan homomorphism. Then for all $(a, b) \in \mathcal{U}$,

(2.1)
$$\varphi(a^2, b^2) = \varphi(a, b)^2.$$

Replacing a by a + x and b by b + y in (2.1), gives

(2.2)
$$\varphi(a^2 + x^2 + 2ax, b^2 + y^2 + 2by) = \varphi(a + x, b + y)^2.$$

By Lemma 1 of [13], for all $(a, b), (x, y) \in \mathcal{U}$ we have

(2.3)
$$\varphi(a^2, by) = \varphi(a, b)\varphi(a, y) \text{ and } \varphi(ax, b^2) = \varphi(a, b)\varphi(x, b).$$

It follows from (2.2) and (2.3) that

(2.4)
$$2\varphi(ax, by) = \varphi(a, b)\varphi(x, y) + \varphi(a, y)\varphi(x, b)$$

for all $(a,b), (x,y) \in \mathcal{U}$. Take $I = \varphi(a,b)\varphi(x,y), J = \varphi(a,y)\varphi(x,b)$ and t = I - J. Then we get

(2.5)
$$t^2 = I^2 + J^2 - 2IJ, \quad 4\varphi(ax, by)^2 = I^2 + J^2 + 2IJ.$$

By (2.4) and (2.5), we deduce

$$\begin{aligned} 4\varphi(ax, by)^2 + t^2 &= 2(I^2 + J^2) \\ &= 2[\varphi(a, b)^2 \varphi(x, y)^2 + \varphi(a, y)^2 \varphi(x, b)^2] \\ &= 2[\varphi(a^2, b^2)\varphi(x^2, y^2) + \varphi(a^2, y^2)\varphi(x^2, b^2)] \\ &= 4\varphi(a^2x^2, b^2y^2) \\ &= 4\varphi(ax, by)^2. \end{aligned}$$

Hence, t = 0, which proves that I = J. Thus, by (2.4) we have

$$\varphi(ax, by) = \varphi(a, b)\varphi(x, y),$$

for all $(a, b), (x, y) \in \mathcal{U}$, so φ is a bi-homomorphism.

Now suppose that \mathcal{D} is semisimple and commutative. Let $\mathfrak{M}(\mathcal{D})$ be the maximal ideal space of \mathcal{D} . We associate with each $f \in \mathfrak{M}(\mathcal{D})$ a function $\varphi_f : \mathcal{U} \to \mathbb{C}$ defined by

$$\varphi_f(a,b) := f(\varphi(a,b)), \quad (a,b) \in \mathcal{U}.$$

Pick $f \in \mathfrak{M}(\mathcal{D})$ arbitrary. It is easy to see that φ_f is a bi-Jordan homomorphism, so by the above argument it is a bi-homomorphism. Thus, by the definition of φ_f we have

$$f(\varphi(ax, by)) = f(\varphi(a, b))f(\varphi(x, y)) = f(\varphi(a, b)\varphi(x, y)).$$

Since $f \in \mathfrak{M}(\mathcal{D})$ was arbitrary and \mathcal{D} is assumed to be semisimple,

$$\varphi(ax, by) = \varphi(a, b)\varphi(x, y),$$

for all $(a, b), (x, y) \in \mathcal{U}$. This complete the proof.

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A bilinear map $\varphi : \mathcal{U} \to \mathcal{D}$ is called co-bi-homomorphism if

$$\varphi(ax, by) = -\varphi(a, b)\varphi(x, y),$$

for all $(a, b), (x, y) \in \mathcal{U}$, and it is called co-bi-Jordan homomorphism if

$$\varphi(a^2, b^2) = -\varphi(a, b)^2, \quad (a, b) \in \mathcal{U}.$$

By a same method as Theorem 2.1, we have the following result for co-bi-Jordan homomorphisms.

Theorem 2.2. Every co-bi-Jordan homomorphism from commutative Banach algebra \mathcal{U} into a semisimple commutative Banach algebra \mathcal{D} is a co-bi-homomorphism.

We say that the Banach algebra \mathcal{A} is weakly commutative if

$$(ax)^2 = a^2 x^2$$
 and $ax^2 a = x^2 a^2$,

for all $a, x \in A$. Clearly, every commutative Banach algebra is weakly commutative, but in general, the converse is false. For example, let

$$\mathcal{A} = \left\{ \begin{bmatrix} a & b \\ 0 & 0 \end{bmatrix} : \quad a, b \in \mathbb{R} \right\}.$$

Then it is obvious to check that with the usual matrix product for all $x, y \in \mathcal{A}$,

$$(xy)^2 = x^2y^2$$
 and $xy^2x = y^2x^2$.

Thus, \mathcal{A} is weakly commutative, but it is neither unital nor commutative.

Note that a unital Banach algebra is weakly commutative if and only if it is commutative.

Lemma 2.1. Let \mathcal{U} be a weakly commutative Banach algebra, and $\varphi : \mathcal{U} \to \mathbb{C}$ be a bi-Jordan homomorphism. Then

$$\varphi(ax, by) = \varphi(ax, yb) = \varphi(xa, by),$$

for all $(a, b), (x, y) \in \mathcal{U}$.

Proof. By Lemma 1 of [13],

(2.6)
$$\varphi(a^2, by + yb) = 2\varphi(a, b)\varphi(a, y), \quad (a, b), (a, y) \in \mathcal{U}.$$

Replacing a by ax in (2.6) we get

(2.7)
$$\varphi((ax)^2, by + yb) = 2\varphi(ax, b)\varphi(ax, y)$$

Replacing b by by and y by yb in (2.7), gives

(2.8)
$$\varphi((ax)^2, by^2b + yb^2y) = 2\varphi(ax, by)\varphi(ax, yb).$$

Since \mathcal{U} is weakly commutative, by (2.8) we have

$$\begin{aligned} 2\varphi(ax, by)\varphi(ax, yb) &= \varphi((ax)^2, by^2b + yb^2y) \\ &= \varphi((ax)^2, y^2b^2 + b^2y^2) \\ &= \varphi((ax)^2, b^2y^2) + \varphi((ax)^2, y^2b^2) \\ &= \varphi(ax, by)^2 + \varphi(ax, yb)^2. \end{aligned}$$

Thus,

$$\left(\varphi(ax, by) - \varphi(ax, yb)\right)^2 = 0.$$

which proves that

$$\varphi(ax, by) = \varphi(ax, yb),$$

for all $(a, b), (x, y) \in \mathcal{U}$. In a similar way, we can prove that $\varphi(ax, by) = \varphi(xa, by)$. This complete the proof.

The next result is the generalization of Theorem 2.1.

Theorem 2.3. Suppose that φ is a bi-Jordan homomorphism from weakly commutative Banach algebra \mathfrak{U} into a semisimple commutative Banach algebra \mathfrak{D} . Then φ is a bi-homomorphism.

Proof. We first assume that $\mathcal{D} = \mathbb{C}$ and let $\varphi : \mathcal{U} \to \mathbb{C}$ be a bi-Jordan homomorphism. Then for all $(a, b) \in \mathcal{U}$,

(2.9)
$$\varphi(a^2, b^2) = \varphi(a, b)^2$$

Replacing a by a + x and b by b + y in (2.9), gives

(2.10)
$$\varphi(ax + xa, by + yb) = 2\varphi(a, b)\varphi(x, y) + 2\varphi(a, y)\varphi(x, b),$$

for all $(a, b), (x, y) \in \mathcal{U}$. It follows from (2.10) and Lemma 2.1 that

$$4\varphi(ax, by) = \varphi(ax + xa, by + yb)$$

= $2\varphi(a, b)\varphi(x, y) + 2\varphi(a, y)\varphi(x, b).$

Hence,

$$2\varphi(ax, by) = \varphi(a, b)\varphi(x, y) + \varphi(a, y)\varphi(x, b),$$

for all $(a, b), (x, y) \in \mathcal{U}$. Thus, the relation (2.4) in Theorem 2.1 holds. Now the rest of proof is similar to the proof of Theorem 2.1.

As a consequence of Theorem 2.3 we have the next result.

Corollary 2.1. Suppose that \mathcal{U} is weakly commutative and $\varphi : \mathcal{U} \to \mathbb{C}$ satisfies

(2.11)
$$|\varphi(ax, by) - \varphi(a, b)\varphi(x, y)| \le \delta(||(a, b)|| + ||(x, y)||)$$

for all $(a,b), (x,y) \in \mathcal{U}$ and for some $\delta \geq 0$. Then φ is a bi-homomorphism.

Proof. Replacing (x, y) by (a, b) in (2.11), gives

(2.12) $|\varphi(a^2, b^2) - \varphi(a, b)^2| \le 2\delta(||a|| + ||b||),$

for all $(a, b) \in \mathcal{U}$. Take $a = 2^n x$ and $b = 2^n y$ in (2.12), then

$$|\varphi(x^2, y^2) - \varphi(x, y)^2| \le \frac{2^{n+1}\delta(||x|| + ||y||)}{2^{4n}} \to 0,$$

as $n \to \infty$. Hence,

$$\varphi(x^2,y^2)=\varphi(x,y)^2,\quad (x,y)\in \mathfrak{U}.$$

Therefore, φ is a bi-Jordan and so it is a bi-homomorphism by Theorem 2.3.

Example 2.1. Let

$$\mathcal{U} = \left\{ \left(\begin{bmatrix} a & b \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} x & y \\ 0 & 0 \end{bmatrix} \right) : \quad a, b, x, y \in \mathbb{R} \right\}.$$

Then \mathcal{U} is a weakly commutative Banach algebra, but it is not commutative. Hence by Theorem 2.3, each bi-Jordan homomorphism from \mathcal{U} into a semisimple commutative Banach algebra \mathcal{D} is a bi-homomorphism and via versa.

The commutativity of Banach algebra \mathcal{D} in Theorem 2.3 is essential. For example, let

$$\mathcal{A} = \left\{ \begin{bmatrix} a & b \\ 0 & 0 \end{bmatrix} : \quad a, b \in \mathbb{R} \right\},\$$

as above and let \mathcal{A}^{\sharp} be the unitization of \mathcal{A} with the identity matrix as a unit. Set $\mathcal{U} = \mathcal{A} \times \mathcal{A}^{\sharp}$ and define $\varphi : \mathcal{U} \to \mathcal{A}$ by $\varphi(x, y) = xy$. Then for all $(x, y) \in \mathcal{U}$,

$$\varphi(x^2, y^2) = \varphi(x, y)^2.$$

Hence φ is bi-Jordan homomorphism, but it is not bi-homomorphism. Because, let

$$x = \begin{bmatrix} a & b \\ 0 & 0 \end{bmatrix}, \quad y = \begin{bmatrix} c & d \\ 0 & 0 \end{bmatrix}, \quad m = \begin{bmatrix} s & t \\ 0 & 0 \end{bmatrix} \text{ and } n = I,$$

where I is the identity matrix. Then $(x, y), (m, n) \in \mathcal{U}$, but

$$\varphi(xm, yn) \neq \varphi(x, y)\varphi(m, n).$$

3. Chracterization of 3-bi-Jordan Homomorhisms

Clearly, the Banach algebra \mathcal{U} is unital if and only if both \mathcal{A} and \mathcal{B} are unital. Without any confusion we denote by e, the unit element of both \mathcal{A} and \mathcal{B} .

Lemma 3.1. Let \mathcal{U} be a unital commutative Banach algebra, and $\varphi : \mathcal{U} \to \mathbb{C}$ be a 3-bi-Jordan homomorphism. Then for all $(a, b) \in \mathcal{U}$,

(a)
$$\varphi(a^3, b^2 + b) = \varphi(a, b)^2 \varphi(a, e) + \varphi(a, b) \varphi(a, e)^2$$
,
(b) $\varphi(a^2 + a, b^3) = \varphi(a, b)^2 \varphi(e, b) + \varphi(a, b) \varphi(e, b)^2$.

Proof. The proof is straightforward.

Lemma 3.2. By the hypotheses of above Lemma, for all $(a, b), (x, y) \in \mathcal{U}$,

(a)
$$3\varphi(ax^2, b) = \varphi(a, b)\varphi(x, e)^2 + 2\varphi(a, e)\varphi(x, e)\varphi(x, b),$$

(b) $3\varphi(a, by^2) = \varphi(a, b)\varphi(e, y)^2 + 2\varphi(e, b)\varphi(e, y)\varphi(a, y).$

Proof. We prove (a), that the assertion (b) can be proved similarly. Let $\varphi : \mathcal{U} \to \mathbb{C}$ be a 3-bi-Jordan homomorphism. Then for all $(a, b) \in \mathcal{U}$,

(3.1)
$$\varphi(a^3, b^3) = \varphi(a, b)^3$$

Replacing b by b + y in (3.1), gives

(3.2)
$$\varphi(a^3, b^2y + by^2) = \varphi(a, b)^2 \varphi(a, y) + \varphi(a, b) \varphi(a, y)^2,$$

for all $(a, b), (a, y) \in \mathcal{U}$. Replacing y by -y in (3.2), we get

(3.3)
$$\varphi(a^3, -b^2y + by^2) = -\varphi(a, b)^2\varphi(a, y) + \varphi(a, b)\varphi(a, y)^2.$$

By (3.2) and (3.3) we have

(3.4)
$$\varphi(a^3, by^2) = \varphi(a, b)\varphi(a, y)^2.$$

Replacing y by e in (3.4), gives

(3.5)
$$\varphi(a^3,b) = \varphi(a,b)\varphi(a,e)^2.$$

Replacing a by a + x in (3.5), to obtain

(3.6)
$$3\varphi(ax^2 + a^2x, b) = I + J,$$

where

$$I = \varphi(x, b)\varphi(a, e)^2 + 2\varphi(a, b)\varphi(a, e)\varphi(x, e)$$

and

$$J = \varphi(a, b)\varphi(x, e)^2 + 2\varphi(a, e)\varphi(x, b)\varphi(x, e).$$

Replacing x by -x in (3.6), we get

(3.7) $3\varphi(ax^2 - a^2x, b) = -I + J.$

By (3.6) and (3.7) we have

$$3\varphi(ax^2,b) = \varphi(a,b)\varphi(x,e)^2 + 2\varphi(a,e)\varphi(x,b)\varphi(x,e),$$

for all $(a, b), (x, e) \in \mathcal{U}$, as required.

Now we state and prove the main Theorem of this section.

Theorem 3.1. Suppose that φ is a 3-bi-Jordan homomorphism from unital commutative Banach algebra \mathcal{U} into \mathbb{C} . Then φ is a 3-bi-homomorphism.

Proof. Let $\varphi : \mathcal{U} \to \mathbb{C}$ be a 3-bi-Jordan homomorphism. Then

(3.8)
$$\varphi(a^3, b^3) = \varphi(a, b)^3, \quad (a, b) \in \mathcal{U}.$$

Replacing both of a and b by e, gives $\varphi(e, e) = \varphi(e, e)^3$. Since $\varphi(e, e) \neq 0$, so $\varphi(e, e) = 1$ or $\varphi(e, e) = -1$. We first assume that $\varphi(e, e) = 1$. Replacing a by a + e and b by b + e in (3.8), and simplifies the result by Lemma 3.1, we get

(3.9)
$$9\varphi(a^2 + a, b^2 + b) = 3\{\varphi(a, b)^2 + \varphi(a, b) + P + Q + R + S\},\$$

where

$$\begin{split} P &= 2\varphi(a,b)\varphi(a,e) + \varphi(e,b)\varphi(a,e)^2, \qquad Q &= 2\varphi(a,b)\varphi(e,b) + \varphi(a,e)\varphi(e,b)^2, \\ R &= 2\varphi(a,e)\varphi(e,b), \qquad \qquad S &= 2\varphi(a,e)\varphi(a,b)\varphi(e,b). \end{split}$$

It follows from preceding Lemma that for all $(a, b) \in \mathcal{U}$,

(3.10)
$$P = 3\varphi(a^2, b), \quad Q = 3\varphi(a, b^2), \quad R = 2\varphi(a, b) \quad \text{and} \quad S = 2\varphi(a, b)^2.$$

By (3.9) and (3.10) we obtain

$$\varphi(a^2, b^2) = \varphi(a, b)^2$$

for all $(a, b) \in \mathcal{U}$. Hence, φ is bi-Jordan homomorphism and so it is bi-homomorphism by Theorem 2.1. Thus, φ is 3-bi-homomorphism.

Now suppose that $\varphi(e, e) = -1$. Then by a similar argument we have

$$\varphi(a^2, b^2) = -\varphi(a, b)^2, \quad (a, b) \in \mathcal{U}.$$

Therefore by Theorem 2.2, φ is co-bi-homomorphism. That is,

$$\varphi(ax, by) = -\varphi(a, b)\varphi(x, y),$$

for all $(a, b), (x, y) \in \mathcal{U}$. Thus,

$$\begin{split} \varphi(axu, byv) &= -\varphi(a, b)[\varphi(xu, yv)] \\ &= -\varphi(a, b)[-\varphi(x, y)\varphi(u, v)] \\ &= \varphi(a, b)\varphi(x, y)\varphi(u, v), \end{split}$$

for all $(a, b), (x, y), (u, v) \in \mathcal{U}$. So φ is 3-bi-homomorphism, as claimed.

As a consequence of Theorem 3.1 we have the next result.

Corollary 3.1. Suppose that φ is a 3-bi-Jordan homomorphism from unital commutative Banach algebra \mathfrak{U} into a semisimple commutative Banach algebra \mathfrak{D} . Then φ is a 3-bi-homomorphism.

In view of Theorem 1.1 and Theorem 2.1, the following question suggests itself: does Theorem 2.1 hold without commutativity of \mathcal{U} ?

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