

## GENERALIZATION OF CERTAIN INEQUALITIES CONCERNING THE POLAR DERIVATIVE OF A POLYNOMIAL

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ABSTRACT. In this paper, we prove some more general results concerning the maximum modulus of the polar derivative of a polynomial. A variety of interesting results follow as special cases from our results.

### 1. INTRODUCTION

Let  $\mathbb{P}_n$  denote the space of all complex polynomials  $P(z) := \sum_{j=0}^n a_j z^j$  of degree  $n$  and let  $P'(z)$  be its derivative then

$$(1.1) \quad \max_{|z|=1} |P'(z)| \leq n \max_{|z|=1} |P(z)|.$$

Inequality (1.1) is a famous result due to Bernstein (for reference see [3]) and is best possible with equality holds for  $P(z) = \lambda z^n$ , where  $\lambda$  is a complex number. Where as concerning the maximum modulus of  $P(z)$  on the circle  $|z| = R > 1$ , we have (for reference see [15]),

$$(1.2) \quad \max_{|z|=R} |P(z)| \leq R^n \max_{|z|=1} |P(z)|, \quad R \geq 1.$$

Inequality (1.2) holds for  $P(z) = \lambda z^n$ , where  $\lambda$  is a complex number.

If we restrict ourselves to the class of polynomials  $P \in \mathbb{P}_n$ , with  $P(z) \neq 0$  in  $|z| < 1$ , then (1.1) and (1.2) can be respectively replaced by

$$(1.3) \quad \max_{|z|=1} |P'(z)| \leq \frac{n}{2} \max_{|z|=1} |P(z)|$$

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and

$$(1.4) \quad \max_{|z|=R \geq 1} |P(z)| \leq \frac{R^n + 1}{2} \max_{|z|=1} |P(z)|.$$

Inequality (1.3) was conjectured by Erdős and later proved by Lax [10], where as inequality (1.4) was proved by Ankeny and Rivlin [1].

Inequality (1.1) can be seen as a special case of the following inequality which is also due to Bernstein [3].

**Theorem 1.1.** *Let  $F \in \mathbb{P}_n$ , having all its zeros in  $|z| \leq 1$  and  $f(z)$  be a polynomial of degree at most  $n$ . If  $|f(z)| \leq |F(z)|$  for  $|z| = 1$ , then for  $|z| \geq 1$ , we have*

$$(1.5) \quad |f'(z)| \leq |F'(z)|.$$

Equality holds in (1.5) for  $f(z) = e^{i\eta}F(z)$ ,  $\eta \in \mathbb{R}$ .

Inequality (1.1) can be obtained from inequality (1.5) by taking  $F(z) = Mz^n$ , where  $M = \max_{|z|=1} |f(z)|$ . In the same way, inequality (1.2) follows from the following result which is a special case of Bernstein-Walsh lemma [14], Corollary 12.1.3.

**Theorem 1.2.** *Let  $F \in \mathbb{P}_n$ , having all its zeros in  $|z| \leq 1$  and  $f(z)$  be a polynomial of degree at most  $n$ . If  $|f(z)| \leq |F(z)|$  for  $|z| = 1$ , then*

$$|f(z)| < |F(z)|, \quad \text{for } |z| > 1,$$

unless  $f(z) = e^{i\eta}F(z)$  for some  $\eta \in \mathbb{R}$ .

In 2011, Govil et al. [4] proved a more general result which provides a compact generalization of inequalities (1.1), (1.2), (1.3) and (1.4) and includes Theorem 1.1 and Theorem 1.2 as special cases. In fact, they proved that if  $f(z)$  and  $F(z)$  are as in Theorem 1.1, then for any  $\beta$  with  $|\beta| \leq 1$  and  $R \geq r \geq 1$ , we have

$$(1.6) \quad |f(Rz) - \beta f(rz)| \leq |F(Rz) - \beta F(rz)|, \quad \text{for } |z| \geq 1.$$

Further, as a generalization of (1.6), Liman et al. [8] in the same year 2011 and under the same hypothesis as in Theorem 1.1, proved that

$$(1.7) \quad \begin{aligned} & \left| f(Rz) - \beta f(rz) + \gamma \left\{ \left( \frac{R+1}{r+1} \right)^n - |\beta| \right\} f(rz) \right| \\ & \leq \left| F(Rz) - \beta F(rz) + \gamma \left\{ \left( \frac{R+1}{r+1} \right)^n - |\beta| \right\} F(rz) \right|, \end{aligned}$$

for every  $\beta, \gamma \in \mathbb{C}$  with  $|\beta| \leq 1$ ,  $|\gamma| \leq 1$  and  $R > r \geq 1$ .

Jain [6] proved a result concerning the minimum modulus of polynomials by showing that if  $f \in \mathbb{P}_n$  and  $f(z)$  has all its zeros in  $|z| \leq 1$ , then for every  $\beta$  with  $|\beta| \leq 1$  and  $R \geq 1$ ,

$$(1.8) \quad \min_{|z|=1} \left| f(Rz) + \beta \left( \frac{R+1}{2} \right)^n f(z) \right| \geq \left| R^n + \beta \left( \frac{R+1}{2} \right)^n \right| \min_{|z|=1} |f(z)|.$$

Mezerji et al. [13] besides proving some other results also obtained a generalization of (1.8) by proving that if  $f \in \mathbb{P}_n$  and  $f(z)$  has all its zeros in  $|z| \leq k, k \leq 1$ , then for every  $|\beta| \leq 1$  and  $R \geq 1$

$$(1.9) \quad \min_{|z|=1} \left| f(Rz) + \beta \left( \frac{R+k}{1+k} \right)^n f(z) \right| \geq \frac{1}{k^n} \left| R^n + \beta \left( \frac{R+k}{1+k} \right)^n \right| \min_{|z|=1} |f(z)|.$$

Recently, Kumar [7] found that there is a room for the generalization of the condition  $R \geq 1$  in (1.8) and (1.9) to  $R \geq r > 0$  and proved that if  $f \in \mathbb{P}_n$  and  $f(z)$  has all its zeros in  $|z| \leq k, k > 0$ , then for every  $\beta$  with  $|\beta| \leq 1, |z| \geq 1$  and  $R \geq r, Rr \geq k^2$ ,

$$(1.10) \quad \min_{|z|=1} \left| f(Rz) + \beta \left( \frac{R+k}{r+k} \right)^n f(rz) \right| \geq \frac{1}{k^n} \left| R^n + \beta r^n \left( \frac{R+k}{r+k} \right)^n \right| \min_{|z|=k} |f(z)|.$$

For  $f \in \mathbb{P}_n$ , let  $D_\alpha f(z)$  denote the polar derivative of  $f(z)$  of degree  $n$  with respect to  $\alpha$  (see [11]) then

$$D_\alpha f(z) := n f(z) + (\alpha - z) f'(z).$$

The polynomial  $D_\alpha f(z)$  is of degree at most  $n - 1$  and it generalizes the ordinary derivative in the following sense:

$$\lim_{\alpha \rightarrow \infty} \frac{D_\alpha f(z)}{\alpha} := f'(z)$$

uniformly with respect to  $z$  for  $|z| \leq R, R > 0$ .

The latest development and research can be found in the papers by Jiraphorn Somsuwan and Meneeruk Nakprasit [16] and Abdullah Mir [12].

Recently, Liman et al. [9] besides proving some other results also proved the following generalization of (1.6) and (1.7) to the polar derivative  $D_\alpha f(z)$  of a polynomial  $f(z)$  with respect to  $\alpha, |\alpha| \geq 1$ .

**Theorem 1.3.** *Let  $F \in \mathbb{P}_n$ , having all its zeros in  $|z| \leq 1$  and  $f(z)$  be a polynomial of degree  $m (\leq n)$  such that  $|f(z)| \leq |F(z)|$  for  $|z| = 1$ . If  $\alpha, \beta, \gamma \in \mathbb{C}$  be such that  $|\alpha| \geq 1, |\beta| \leq 1$  and  $|\lambda| < 1$ , then for  $R > r \geq 1$  and  $|z| \geq 1$ , we have*

$$(1.11) \quad \begin{aligned} & \left| z \left[ (n-m) \left\{ f(Rz) - \beta f(rz) \right\} + D_\alpha f(Rz) - \beta D_\alpha f(rz) \right] \right. \\ & \quad \left. + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ f(Rz) - \beta f(rz) \right\} \right| \\ & \leq \left| z \left\{ D_\alpha F(Rz) - \beta D_\alpha F(rz) \right\} + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ F(Rz) - \beta F(rz) \right\} \right|. \end{aligned}$$

Equality holds in (1.11) for  $f(z) = e^{i\eta} F(z), \eta \in \mathbb{R}$ .

## 2. MAIN RESULTS

The main aim of this paper is to obtain some more general results for the maximum modulus of the polar derivative of a polynomial under certain constraints on the

zeros and on the functions considered. We first prove the following generalization of inequalities (1.6) and (1.7) and of Theorem 1.3.

**Theorem 2.1.** *Let  $F \in \mathbb{P}_n$ , having all its zeros in  $|z| \leq k, k > 0$  and  $f(z)$  be a polynomial of degree  $m(\leq n)$  such that*

$$(2.1) \quad |f(z)| \leq |F(z)|, \quad \text{for } |z| = k.$$

*If  $\alpha, \beta, \gamma, \lambda \in \mathbb{C}$  be such that  $|\alpha| \geq 1, |\beta| \leq 1, |\gamma| \leq 1$  and  $|\lambda| < 1$ , then for  $R > r, rR \geq k^2$  and  $|z| \geq 1$ , we have*

$$(2.2) \quad \begin{aligned} & \left| z \left[ (n-m) \left\{ f(Rz) + \psi f(rz) \right\} + D_\alpha f(Rz) + \psi D_\alpha f(rz) \right] \right. \\ & \quad \left. + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ f(Rz) + \psi f(rz) \right\} \right| \\ & \leq \left| z \left\{ D_\alpha F(Rz) + \psi D_\alpha F(rz) \right\} + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ F(Rz) + \psi F(rz) \right\} \right|, \end{aligned}$$

where

$$(2.3) \quad \psi = \psi_k(R, r, \beta, \gamma) = \gamma \left\{ \left( \frac{R+k}{r+k} \right)^n - |\beta| \right\}.$$

The result is sharp and equality in (2.2) holds for  $f(z) = e^{i\eta} F(z)$ ,  $\eta$  is real and  $F(z)$  has all its zeros in  $|z| \leq k$ .

We now present and discuss some consequences of Theorem 2.1. Suppose  $f \in \mathbb{P}_n$  and  $f(z) \neq 0$  in  $|z| < k$ , the polynomial  $Q(z) = z^n \overline{f\left(\frac{1}{z}\right)} \in \mathbb{P}_n$  and  $Q(z)$  has all its zeros in  $|z| \leq \frac{1}{k}$ . Note that

$$|Q(z)| = \frac{1}{k^n} |f(k^2 z)|, \quad \text{for } |z| = \frac{1}{k}.$$

Applying Theorem 2.1 with  $F(z)$  replaced by  $k^n Q(z)$ , we get the following corollary.

**Corollary 2.1.** *If  $f \in \mathbb{P}_n$  and  $f(z) \neq 0$  in  $|z| < k, k > 0$ , then for every  $|\alpha| \geq 1, |\beta| \leq 1, |\gamma| \leq 1$  and  $|\lambda| < 1$ , we have for  $R > r, rR \geq \frac{1}{k^2}$  and  $|z| \geq 1$ ,*

$$(2.4) \quad \begin{aligned} & \left| z \left\{ D_\alpha f(Rk^2 z) + \phi D_\alpha f(rk^2 z) \right\} + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ f(Rk^2 z) + \phi f(rk^2 z) \right\} \right| \\ & \leq k^n \left| z \left\{ D_\alpha Q(Rz) + \phi D_\alpha Q(rz) \right\} + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ Q(Rz) + \phi Q(rz) \right\} \right|, \end{aligned}$$

$Q(z) = z^n \overline{f\left(\frac{1}{z}\right)}$  and

$$(2.5) \quad \phi = \phi_k(R, r, \beta, \gamma) = \gamma \left\{ \left( \frac{Rk+1}{rk+1} \right)^n - |\beta| \right\}.$$

Equality holds in (2.4) for  $f(z) = e^{i\eta} Q(z), \eta \in \mathbb{R}$ .

*Remark 2.1.* For  $k = 1$  and  $\gamma = 0$ , Corollary 2.1 in particular yields a result of Liman et al. [9, Corollary 1.4]. Taking  $\beta = \lambda = 0$  in Corollary 2.1 we get the following result.

**Corollary 2.2.** *If  $f \in \mathbb{P}_n$  and  $f(z) \neq 0$  in  $|z| < k$ ,  $k > 0$ , then for every  $|\alpha| \geq 1$ ,  $|\gamma| \leq 1$ , we have for  $R > r$ ,  $rR \geq \frac{1}{k^2}$  and  $|z| \geq 1$ ,*

$$(2.6) \quad \begin{aligned} & \left| D_\alpha f(Rk^2z) + \gamma \left( \frac{Rk+1}{rk+1} \right)^n D_\alpha f(rk^2z) \right| \\ & \leq k^n \left| D_\alpha Q(Rz) + \gamma \left( \frac{Rk+1}{rk+1} \right) D_\alpha Q(rz) \right|, \end{aligned}$$

$$Q(z) = z^n \overline{f\left(\frac{1}{z}\right)}.$$

Inequality (2.6) should be compared with a result recently proved by Kumar [7, Lemma 2.2], where  $f(z)$  is replaced by  $D_\alpha f(z)$ ,  $|\alpha| \geq 1$ .

*Remark 2.2.* For  $r = 1$ , Corollary 2.2 gives the polar derivative analog of a result due to Mezerji et al. ([13], Lemma 4). If we take  $\beta = 0$  in Theorem 2.1 we get the following.

**Corollary 2.3.** *Let  $F \in \mathbb{P}_n$ , having all zeros in  $|z| \leq k$ ,  $k > 0$  and  $f(z)$  be a polynomial of degree  $m(\leq n)$  such that*

$$|f(z)| \leq |F(z)|, \quad \text{for } |z| = k.$$

*If  $\alpha, \gamma, \lambda \in \mathbb{C}$  be such that  $|\alpha| \geq 1$ ,  $|\gamma| \leq 1$  and  $|\lambda| < 1$ , then for  $R > r$ ,  $rR \geq k^2$  and  $|z| \geq 1$ , we have*

$$(2.7) \quad \begin{aligned} & \left| z \left[ (n-m) \left\{ f(Rz) + \gamma \left( \frac{R+k}{r+k} \right)^n f(rz) \right\} + D_\alpha f(Rz) + \gamma \left( \frac{R+k}{r+k} \right)^n D_\alpha f(rz) \right] \right. \\ & \quad \left. + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ f(Rz) + \gamma \left( \frac{R+k}{r+k} \right)^n f(rz) \right\} \right| \\ & \leq \left| z \left\{ D_\alpha F(Rz) + \gamma \left( \frac{R+k}{r+k} \right)^n D_\alpha F(rz) \right\} \right. \\ & \quad \left. + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ F(Rz) + \left( \frac{R+k}{r+k} \right)^n F(rz) \right\} \right|. \end{aligned}$$

*Equality holds in (2.7) for  $f(z) = e^{i\eta} F(z)$ ,  $\eta \in \mathbb{R}$  and  $F(z)$  has all its zeros in  $|z| \leq k$ .*

If we apply Theorem 2.1 to polynomials  $f(z)$  and  $\frac{z^n}{k^n} \min_{|z|=k} |f(z)|$ , we get the following result.

**Corollary 2.4.** *If  $f \in \mathbb{P}_n$  and  $f(z)$  has all its zeros in  $|z| \leq k$ ,  $k > 0$ , then for every  $\alpha, \beta, \gamma, \lambda \in \mathbb{C}$  such that  $|\alpha| \geq 1$ ,  $|\beta| \leq 1$ ,  $|\gamma| \leq 1$  and  $|\lambda| < 1$ , we have for  $R > r$ ,  $rR \geq k^2$  and  $|z| \geq 1$ ,*

$$\left| z \left\{ D_\alpha f(Rz) + \psi D_\alpha f(rz) \right\} + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ f(Rz) + \psi f(rz) \right\} \right|$$

$$(2.8) \quad \geq \frac{n|z|^n}{k^n} \left| \alpha(R^{n-1} + \psi r^{n-1}) + \frac{\lambda}{2} (|\alpha| - 1)(R^n + \psi r^n) \right| \min_{|z|=k} |f(z)|,$$

where  $\psi$  is defined by the equation (2.3). Equality holds in (2.8) for  $f(z) = az^n$ ,  $a \neq 0$ .

Taking  $\lambda = 0$  in Corollary 2.4 we get the following result.

**Corollary 2.5.** *If  $f \in \mathbb{P}_n$  and  $f(z)$  has all its zeros in  $|z| \leq k$ ,  $k > 0$ , then for every  $\alpha, \beta, \gamma \in \mathbb{C}$  such that  $|\alpha| \geq 1$ ,  $|\beta| \leq 1$ ,  $|\gamma| \leq 1$  and for  $R > r$ ,  $rR \geq k^2$ , we have*

$$(2.9) \quad \min_{|z|=1} \left| D_\alpha f(Rz) + \psi D_\alpha f(rz) \right| \geq \frac{n|\alpha|}{k^n} \left| R^{n-1} + \psi r^{n-1} \right| \min_{|z|=k} |f(z)|,$$

$\psi$  is defined by the equation (2.3). Equality holds in (2.8) for  $f(z) = az^n$ ,  $a \neq 0$ .

*Remark 2.3.* For  $\beta = 0$ , the above inequality (2.9) gives the polar derivative analog of (1.10).

**Theorem 2.2.** *Let  $F \in \mathbb{P}_n$ , having all its zeros in  $|z| \leq k$ ,  $k > 0$  and  $f(z)$  be a polynomial of degree  $m (\leq n)$  such that*

$$|f(z)| \leq |F(z)|, \quad \text{for } |z| = k.$$

*If  $\alpha, \beta, \gamma \in \mathbb{C}$  be such that  $|\alpha| \geq 1$ ,  $|\beta| \leq 1$  and  $|\gamma| \leq 1$ , then for  $R > r$ ,  $rR \geq k^2$  and  $|z| \geq 1$ , we have*

$$(2.10) \quad \begin{aligned} & \left| z \left[ (n-m) \left\{ f(Rz) + \psi f(rz) \right\} + D_\alpha f(Rz) + \psi D_\alpha f(rz) \right] \right. \\ & \quad \left. + \frac{n}{2} (|\alpha| - 1) \left| F(Rz) + \psi F(rz) \right| \right| \\ & \leq \left| z \left\{ D_\alpha F(Rz) + \psi D_\alpha F(rz) \right\} \right| + \frac{n}{2} (|\alpha| - 1) \left| f(Rz) + \psi f(rz) \right|, \end{aligned}$$

where  $\psi$  is defined by the equation (2.3). Equality holds in (2.10) for  $f(z) = e^{i\eta} F(z)$ ,  $\eta \in \mathbb{R}$  and  $F(z)$  has all its zeros in  $|z| \leq k$ .

*Remark 2.4.*  $\gamma = 0$  and  $k = 1$ , Theorem 2.2 gives in particular a result of Liman et al. [9, Theorem 2]. From Theorem 2.2 we have the following.

**Corollary 2.6.** *If  $f \in \mathbb{P}_n$ , and  $f(z)$  does not vanish in  $|z| < k$ ,  $k > 0$ , then for every  $\alpha, \beta, \gamma \in \mathbb{C}$  with  $|\alpha| \geq 1$ ,  $|\beta| \leq 1$ ,  $|\gamma| \leq 1$ , we have for  $R > r$ ,  $rR \geq \frac{1}{k^2}$  and  $|z| \geq 1$ ,*

$$(2.11) \quad \begin{aligned} & \left| z \left\{ D_\alpha f(Rk^2z) + \phi D_\alpha f(rk^2z) \right\} \right| + \frac{n}{2} (|\alpha| - 1) k^n \left| Q(Rz) + \phi Q(rz) \right| \\ & \leq k^n \left| z \left\{ D_\alpha Q(Rz) + \phi D_\alpha Q(rz) \right\} \right| + \frac{n}{2} (|\alpha| - 1) \left| f(Rk^2z) + \phi f(rk^2z) \right|, \end{aligned}$$

where  $Q(z) = z^n \overline{f(\frac{1}{z})}$  and  $\phi$  is defined by the equation (2.5).

*Remark 2.5.* We recover a result of Liman et al. [9, Corollary 2.3] from Corollary 2.5 when we take  $\gamma = 0$  and  $k = 1$ .

### 3. LEMMAS

We need the following lemmas to prove our theorems. The first lemma is due to Aziz and Zargar [2].

**Lemma 3.1.** *Let  $f \in \mathbb{P}_n$ , having all its zeros in  $|z| \leq k$ ,  $k \geq 0$ , then for every  $R > r$ ,  $rR \geq k^2$*

$$|f(Rz)| > \left(\frac{R+k}{r+k}\right)^n |f(rz)|, \quad \text{for } |z| = 1.$$

**Lemma 3.2.** *Let  $f \in \mathbb{P}_n$ , having all its zeros in  $|z| \leq 1$ , then for every  $\alpha$  with  $|\alpha| \geq 1$ ,*

$$2|zD_\alpha f(z)| \geq n(|\alpha| - 1)|f(z)|, \quad \text{for } |z| = 1.$$

The above lemma is due to Shah [17].

**Lemma 3.3.** *Let  $f \in \mathbb{P}_n$ , having all its zeros in  $|z| \leq k$ , then for  $|\alpha| \geq k$ , the polar derivative*

$$D_\alpha f(z) := nf(z) + (\alpha - z)f'(z),$$

*of  $f(z)$  at the point  $\alpha$  also has all its zeros in  $|z| \leq k$ .*

The above lemma is due to Laguerre [11, page 49].

### 4. PROOF OF THE THEOREMS

*Proof of Theorem 2.1.* By hypothesis,  $F(z)$  is a polynomial of degree  $n$  having all its zeros in  $|z| \leq k$  and  $f(z)$  is a polynomial of degree at most  $n$  such that

$$(4.1) \quad |f(z)| \leq |F(z)|, \quad \text{for } |z| = k,$$

therefore, if  $F(z)$  has a zero of multiplicity  $\nu$  at  $z = ke^{i\theta_0}$ , then  $f(z)$  must also have a zero of multiplicity at least  $\nu$  at  $z = ke^{i\theta_0}$ . We assume that  $\frac{f(z)}{F(z)}$  is not a constant, otherwise, the inequality (2.2) is obvious. It follows by the maximum modulus principle that

$$|f(z)| < |F(z)|, \quad \text{for } |z| > k.$$

Suppose  $F(z)$  has  $m$  zeros on  $|z| = k$ , where  $0 \leq m < n$ , so that we can write

$$F(z) = F_1(z)F_2(z),$$

where  $F_1(z)$  is a polynomial of degree  $m$  whose all zeros lie on  $|z| = k$  and  $F_2(z)$  is a polynomial of degree  $n - m$  whose all zeros lie in  $|z| < k$ . This gives with the help of (4.1) that

$$f(z) = P_1(z)F_1(z),$$

where  $P_1(z)$  is a polynomial of degree at most  $n - m$ . Now, from inequality (4.1), we get

$$|P_1(z)| \leq |F_2(z)|, \quad \text{for } |z| = k,$$

and  $F_2(z) \neq 0$  for  $|z| = k$ . Therefore, for a given complex number  $\delta$  with  $|\delta| > 1$ , it follows from Rouché's theorem that the polynomial  $P_1(z) - \delta F_2(z)$  of degree  $n - m \geq 1$  has all its zeros in  $|z| < k$ . Hence, the polynomial

$$P(z) = F_1(z)(P_1(z) - \delta F_2(z)) = f(z) - \delta F(z)$$

has all its zeros in  $|z| \leq k$  with at least one zero in  $|z| < k$ , so that we can write

$$P(z) = (z - \eta e^{i\gamma})H(z),$$

where  $\eta < k$  and  $H(z)$  is a polynomial of degree  $n - 1$  having all its zeros in  $|z| \leq k$ . Applying Lemma 3.1 to  $H(z)$ , we obtain for  $R > r$ ,  $rR \geq k^2$  and  $0 \leq \theta < 2\pi$ ,

$$\begin{aligned} |P(Re^{i\theta})| &= |Re^{i\theta} - \eta e^{i\gamma}| |H(Re^{i\theta})| \\ &> |Re^{i\theta} - \eta e^{i\gamma}| \left(\frac{R+k}{r+k}\right)^{n-1} |H(re^{i\theta})| \\ (4.2) \quad &= \left(\frac{R+k}{r+k}\right)^{n-1} \frac{|Re^{i\theta} - \eta e^{i\gamma}|}{|re^{i\theta} - \eta e^{i\gamma}|} |re^{i\theta} - \eta e^{i\gamma}| |H(re^{i\theta})|. \end{aligned}$$

Now for  $0 \leq \theta < 2\pi$ , we have

$$\begin{aligned} \left| \frac{Re^{i\theta} - \eta e^{i\gamma}}{re^{i\theta} - \eta e^{i\gamma}} \right|^2 &= \frac{R^2 + \eta^2 - 2R\eta \cos(\theta - \gamma)}{r^2 + \eta^2 - 2r\eta \cos(\theta - \gamma)} \\ &\geq \left(\frac{R+\eta}{r+\eta}\right)^2, \quad \text{for } R > r \text{ and } rR \geq k^2 \\ &> \left(\frac{R+k}{r+k}\right)^2, \quad \text{since } \eta < k. \end{aligned}$$

This implies

$$\left| \frac{Re^{i\theta} - \eta e^{i\gamma}}{re^{i\theta} - \eta e^{i\gamma}} \right| > \frac{R+k}{r+k},$$

which on using in (4.2) gives for  $R > r$ ,  $rR \geq k^2$  and  $0 \leq \theta < 2\pi$ ,

$$|P(Re^{i\theta})| > \left(\frac{R+k}{r+k}\right)^n |P(re^{i\theta})|.$$

Equivalently,

$$(4.3) \quad |P(Rz)| > \left(\frac{R+k}{r+k}\right)^n |P(rz)|,$$

for  $R > r$ ,  $rR \geq k^2$  and  $|z| = 1$ . This implies for every  $|\beta| \leq 1$ ,  $R > r$ ,  $rR \geq k^2$  and  $|z| = 1$ ,

$$(4.4) \quad |P(Rz) - \beta P(rz)| \geq |P(Rz)| - |\beta| |P(rz)| > \left\{ \left(\frac{R+k}{r+k}\right)^n - |\beta| \right\} |P(rz)|.$$



Again, since  $r < R$ , it follows that  $\left(\frac{r+k}{R+k}\right)^n < 1$ , inequality (4.3) implies that

$$|P(rz)| < |P(Rz)|, \quad \text{for } |z| = 1.$$

Also, all the zeros of  $P(Rz)$  lie in  $|z| \leq \frac{k}{R}$  and  $R^2 > rR \geq k^2$ , we have  $\frac{k}{R} < 1$ . A direct application of Rouché's theorem shows that the polynomial  $P(Rz) - \beta f(rz)$  has all its zeros in  $|z| < 1$ , for every  $|\beta| \leq 1$ . Applying Rouché's theorem again, it follows from (4.4) that for every  $|\gamma| \leq 1, |\beta| \leq 1, R > r, rR \geq k^2$ , all the zeros of the polynomial

$$(4.5) \quad g(z) := P(Rz) - \beta P(rz) + \gamma \left\{ \left( \frac{R+k}{r+k} \right)^n - |\beta| \right\} P(rz) = P(Rz) + \psi P(rz)$$

lie in  $|z| < 1$ . Using Lemma 3.2 we get for every  $\alpha \in \mathbb{C}$  with  $|\alpha| \geq 1$  and  $|z| = 1$

$$2|zD_\alpha g(z)| \geq n(|\alpha| - 1)|g(z)|.$$

Hence, for any complex number  $\lambda$  with  $|\lambda| < 1$ , we have for  $|z| = 1$ ,

$$2|zD_\alpha g(z)| > n|\lambda|(|\alpha| - 1)|g(z)|.$$

Therefore, it follows by Lemma 3.3 that all the zeros of

$$(4.6) \quad \begin{aligned} W(z) &:= 2zD_\alpha g(z) + n\lambda(|\alpha| - 1)g(z) \\ &= 2zD_\alpha P(Rz) + 2z\psi D_\alpha P(rz) + n\lambda(|\alpha| - 1)(P(Rz) + \psi P(rz)) \end{aligned}$$

lie in  $|z| < 1$ .

Replacing  $P(z)$  by  $f(z) - \delta F(z)$  and using definition of polar derivative give

$$\begin{aligned} W(z) &= 2z \left[ n \left\{ f(Rz) - \delta F(Rz) \right\} + (\alpha - Rz) \left\{ f(Rz) - \delta F(Rz) \right\}' \right] \\ &\quad + 2z\psi \left[ n \left\{ f(rz) - \delta F(rz) \right\} + (\alpha - rz) \left\{ f(rz) - \delta F(rz) \right\}' \right] \\ &\quad + n\lambda(|\alpha| - 1) \left\{ f(Rz) - \delta F(Rz) \right\} + n\lambda\psi(|\alpha| - 1) \left\{ f(rz) - \delta F(rz) \right\}, \end{aligned}$$

which on simplification gives

$$\begin{aligned} W(z) &= 2z \left[ (n - m)f(Rz) + mf(Rz) + (\alpha - Rz) \left( f(Rz) \right)' \right. \\ &\quad \left. - \delta \left\{ nF(rz) + (\alpha - rz) \left( F(Rz) \right)' \right\} \right] \\ &\quad + 2z\psi \left[ (n - m)f(rz) + mf(rz) + (\alpha - rz) \left( f(rz) \right)' \right. \\ &\quad \left. - \delta \left\{ nF(rz) + (\alpha - rz) \left( F(rz) \right)' \right\} \right] \\ &\quad + n\lambda(|\alpha| - 1) \left\{ f(Rz) - \delta F(Rz) \right\} + n\lambda\psi(|\alpha| - 1) \left\{ f(rz) - \delta F(rz) \right\} \end{aligned}$$

$$\begin{aligned}
&= 2z \left\{ (n-m)f(Rz) + D_\alpha f(Rz) - \delta D_\alpha F(Rz) \right\} \\
&\quad + 2z\psi \left\{ (n-m)f(rz) + D_\alpha f(rz) - \delta D_\alpha F(rz) \right\} \\
&\quad + n\lambda(|\alpha| - 1) \left\{ f(Rz) - \delta F(Rz) \right\} + n\lambda\psi(|\alpha| - 1) \left\{ f(rz) - \delta F(rz) \right\} \\
&= 2z \left\{ (n-m)f(Rz) + \psi(n-m)f(rz) + D_\alpha f(Rz) + \psi D_\alpha f(rz) \right\} \\
&\quad + n\lambda\psi(|\alpha| - 1)f(Rz) + n\lambda\psi(|\alpha| - 1)f(rz) \\
&\quad - \delta \left\{ 2zD_\alpha F(Rz) + 2z\psi D_\alpha F(rz) \right. \\
(4.7) \quad &\quad \left. + n\lambda(|\alpha| - 1)F(Rz) + n\lambda\psi(|\alpha| - 1)F(rz) \right\}.
\end{aligned}$$

Since by (4.6),  $W(z)$  has all its zeros in  $|z| < 1$ , therefore, by (4.7), we get for  $|z| \geq 1$

$$\begin{aligned}
&\left| z \left[ (n-m) \left\{ f(Rz) + \psi f(rz) \right\} + D_\alpha f(Rz) + \psi D_\alpha f(rz) \right] \right. \\
&\quad \left. + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ f(Rz) + \psi f(rz) \right\} \right| \\
(4.8) \quad &\leq \left| z \left\{ D_\alpha F(Rz) + \psi D_\alpha F(rz) \right\} + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ F(Rz) + \psi F(rz) \right\} \right|.
\end{aligned}$$

To see that the inequality (4.8) holds, note that if the inequality (4.8) is not true, then there is a point  $z = z_0$  with  $|z_0| \geq 1$ , such that

$$\begin{aligned}
&\left| z_0 \left[ (n-m) \left\{ f(Rz_0) + \psi f(rz_0) \right\} + D_\alpha f(Rz_0) + \psi D_\alpha f(rz_0) \right] \right. \\
&\quad \left. + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ f(Rz_0) + \psi f(rz_0) \right\} \right| \\
(4.9) \quad &> \left| z_0 \left\{ D_\alpha F(Rz_0) + \psi D_\alpha F(rz_0) \right\} + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ F(Rz_0) + \psi F(rz_0) \right\} \right|.
\end{aligned}$$

Now, because by hypothesis all the zeros of  $F(z)$  lie in  $|z| \leq k$ , the polynomial  $F(Rz)$  has all its zeros in  $|z| \leq \frac{k}{R} < 1$ , and therefore, if we use Rouché's theorem and Lemmas 3.1 and 3.3 and argument similar to the above we will get that all the zeros of

$$z \left( D_\alpha F(Rz) + \psi D_\alpha F(rz) \right) + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ F(Rz) + \psi F(rz) \right\}$$

lie in  $|z| < 1$  for every  $|\alpha| \geq 1$ ,  $|\lambda| < 1$  and  $R > r$ ,  $rR \geq k^2$ , that is,

$$z \left( D_\alpha F(Rz_0) + \psi D_\alpha F(rz_0) \right) + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ F(Rz_0) + \psi F(rz_0) \right\} \neq 0,$$

for every  $z_0$  with  $|z_0| \geq 1$ . Therefore, if we take

$$\delta = \frac{z_0 \left[ (n - m) \left\{ f(Rz_0) + \psi f(rz_0) \right\} + D_\alpha f(Rz_0) + \psi D_\alpha f(rz_0) \right]}{z_0 \left( D_\alpha F(Rz_0) + \psi F(rz_0) D_\alpha \right) + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ F(Rz_0) + \psi F(rz_0) \right\}} + \frac{\frac{n\lambda}{2} (|\alpha| - 1) \left\{ f(Rz_0) + \psi f(rz_0) \right\}}{z_0 \left( D_\alpha F(Rz_0) + \psi F(rz_0) D_\alpha \right) + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ F(Rz_0) + \psi F(rz_0) \right\}},$$

then  $\delta$  is a well-defined real or complex number, and in view of (4.9) we also have  $|\delta| > 1$ . Hence, with the choice of  $\delta$ , we get from (4.7) that  $W(z_0) = 0$  for some  $z_0$ , satisfying  $|z_0| \geq 1$ , which is clearly a contradiction to the fact that all the zeros of  $W(z)$  lie in  $|z| < 1$ . Thus for every  $R > r$ ,  $rR \geq k^2$ ,  $|\alpha| \geq 1$ ,  $|\lambda| < 1$  and  $|z| \geq 1$ , inequality (4.8) holds and this completes the proof of Theorem 2.1.  $\square$

*Proof of Theorem 2.2.* Since all the zeros of  $F(z)$  lie in  $|z| \leq k$ ,  $k > 0$ , for  $R > r$ ,  $rR \geq k^2$ ,  $|\beta| \leq 1$ ,  $|\gamma| \leq 1$ , it follows as in the proof of Theorem 2.1, that all the zeros of

$$h(z) := F(Rz) - \beta F(rz) + \gamma \left\{ \left( \frac{R+k}{r+k} \right)^n - |\beta| \right\} F(rz) = F(Rz) + \psi F(rz)$$

lie in  $|z| < 1$ . Hence, by Lemma 3.2 we get for  $|\alpha| \geq 1$ ,

$$2|z D_\alpha h(z)| \geq n(|\alpha| - 1)|h(z)|, \quad \text{for } |z| \geq 1.$$

This gives for every  $\lambda$  with  $|\lambda| < 1$

$$(4.10) \quad \left| z \left\{ D_\alpha F(Rz) + \psi D_\alpha F(rz) \right\} \right| - \frac{n|\lambda|}{2} (|\alpha| - 1) |F(Rz) + \psi F(rz)| \geq 0,$$

for  $|z| \geq 1$ . Therefore, it is possible to choose the argument of  $\lambda$  in the right hand side of (4.8) such that for  $|z| \geq 1$

$$(4.11) \quad \begin{aligned} & \left| z \left\{ D_\alpha F(Rz) + \psi D_\alpha F(rz) \right\} + \frac{n\lambda}{2} (|\alpha| - 1) \left\{ F(Rz) + \psi F(rz) \right\} \right| \\ & = \left| z \left\{ D_\alpha F(Rz) + \psi D_\alpha F(rz) \right\} \right| - \frac{n|\lambda|}{2} (|\alpha| - 1) |F(Rz) + \psi F(rz)|. \end{aligned}$$

Hence, from (4.8), we get by using (4.11) for  $|z| \geq 1$

$$(4.12) \quad \begin{aligned} & \left| z \left[ (n - m) \left\{ f(Rz) + \psi f(rz) \right\} + D_\alpha f(Rz) + \psi D_\alpha f(rz) \right] \right| \\ & \quad - \frac{n|\lambda|}{2} (|\alpha| - 1) |f(Rz) + \psi f(rz)| \\ & \leq \left| z \left\{ D_\alpha F(Rz) + \psi D_\alpha F(rz) \right\} \right| - \frac{n|\lambda|}{2} (|\alpha| - 1) |F(Rz) + \psi F(rz)|. \end{aligned}$$

Letting  $|\lambda| \rightarrow 1$  in (4.12), we immediately get (2.10) and this proves Theorem 2.2 completely.  $\square$

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