

## NORMAL FAMILIES OF MEROMORPHIC FUNCTIONS SHARING A HOLOMORPHIC FUNCTION

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**ABSTRACT.** Let  $k$  be a positive integer, and  $m$  be an even number. Suppose that  $a(z) (\neq 0)$  is a holomorphic function with zeros of multiplicity  $m$  in a domain  $D$ . Let  $\mathcal{F}$  be a family of meromorphic functions in a domain  $D$  such that each  $f \in \mathcal{F}$  have zeros of multiplicity at least  $k+1+m$  and poles of multiplicity at least  $m+1$ . It is mainly proved that for each pair  $(f, g) \in \mathcal{F}$ , if  $ff^{(k)}$  and  $gg^{(k)}$  share  $a(z)$  IM, then  $\mathcal{F}$  is normal in  $D$ . This result improves Hu and Meng's results published in Journal of Mathematical Analysis and Applications (2009, 2011), and also Jiang and Gao's result in Acta Mathematica Scientia (2012).

### 1. INTRODUCTION AND MAIN RESULTS

Let  $D$  be a domain in  $\mathbb{C}$ , and  $\mathcal{F}$  be a family of meromorphic functions defined in the domain  $D$ .  $\mathcal{F}$  is said to be normal in  $D$ , in the sense of Montel, if for every sequence  $\{f_n\} \subset \mathcal{F}$  there exists a subsequence  $\{f_{n_j}\}$  converges spherically locally uniformly to a meromorphic function or  $\infty$ .

Let  $f$  and  $g$  be two meromorphic functions in  $D$ , and let  $\phi(z)$  be a function. If the two equations  $f(z) = \phi(z)$  and  $g(z) = \phi(z)$  have the same solutions in  $D$  (ignoring multiplicity), then we say that  $f$  and  $g$  share a function  $\phi(z)$  IM.

Now, we introduce a normality criterion related to a Hayman normal conjecture.

**Theorem 1.1.** [1] *Let  $\mathcal{F}$  be a family of meromorphic function in  $D$ , and  $a (\neq 0) \in \mathbb{C}$ . If  $f^n f' \neq a$ , for each function  $f \in \mathcal{F}$ , then  $\mathcal{F}$  is normal in  $D$ , where  $n$  is a positive integer.*

The result is due to L. Yang and G. Zhang [2] (for  $n \geq 5$ ), Y. X. Gu [3] (for  $n = 4, 3$ ), X. C. Pang [4] (for  $n \geq 2$ ) and Chen and Fang [5] (for  $n = 1$ ).

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In 2009, Q. Lu and Y. X. Gu [6] considered the general order derivative in Theorem 1.1 for  $n = 1$  and proved the following result.

**Theorem 1.2.** [6] *Let  $\mathcal{F}$  be a family of meromorphic function in  $D$ , and  $a(\neq 0) \in \mathbb{C}$ . If  $ff^{(k)} \neq a$ , for each function  $f \in \mathcal{F}$ , the zeros of  $f$  have multiplicities at least  $k + 2$ , then  $\mathcal{F}$  is normal in  $D$ , where  $n$  is a positive integer.*

In 2010, J. Xu and W. Cao [7] improved Theorem 1.2 by including meromorphic functions having zeros with multiplicities at least  $k + 1$ .

In 2011 D. W. Meng and P. Ch. Hu proved the following normality criteria.

**Theorem 1.3.** [8] *Take  $a \in \mathbb{C} - \{0\}$  and take a positive integer  $k$ . Let  $\mathcal{F}$  be a family of meromorphic functions in the plane domain  $D$  such that each  $f \in \mathcal{F}$  has only zeros of multiplicity at least  $k + 1$ . For each pair  $(f, g) \in \mathcal{F}$ , if  $ff^{(k)}$  and  $gg^{(k)}$  share a IM, then  $\mathcal{F}$  is normal in  $D$ .*

In 2009 D. W. Meng and P. Ch. Hu proved the following normality criteria.

**Theorem 1.4.** [9] *Take  $a \in \mathbb{C} - \{0\}$  and take positive integers  $n$  and  $k$  with  $n, k \geq 2$ . Let  $\mathcal{F}$  be a family of meromorphic functions in the plane domain  $D$  such that each  $f \in \mathcal{F}$  has only zeros of multiplicity at least  $k$ . For each pair  $(f, g) \in \mathcal{F}$ , if  $f(f^{(k)})^n$  and  $g(g^{(k)})^n$  share a IM, then  $\mathcal{F}$  is normal in  $D$ .*

Recently, Jiang and Gao improved Theorem 1.4 in the following manner.

**Theorem 1.5.** [10] *Let  $n, k \geq 2, m \geq 0$  be three positive integers, and  $m$  be divisible by  $n + 1$ . Suppose that  $a(z)(\neq 0)$  is a holomorphic function with zeros of multiplicity  $m$  in a domain  $D$ . Let  $\mathcal{F}$  be a family of meromorphic functions in a domain  $D$  such that each  $f \in \mathcal{F}$  have zeros of multiplicity at least  $\max\{k + m, 2m + 2\}$ . For each pair  $(f, g) \in \mathcal{F}$ , if  $f(f^{(k)})^n$  and  $g(g^{(k)})^n$  share  $a(z)$  IM, then  $\mathcal{F}$  is normal in  $D$ .*

Here, we want to generalize Theorem 1.3 by replacing the constant  $a$  by a function. In this direction we prove the following result.

**Theorem 1.6.** *Let  $k$  be a positive integer, and  $m$  be an even number. Suppose that  $a(z)(\neq 0)$  is a holomorphic function with zeros of multiplicity  $m$  in a domain  $D$ . Let  $\mathcal{F}$  be a family of meromorphic functions in a domain  $D$  such that each  $f \in \mathcal{F}$  have zeros of multiplicity at least  $k + 1 + m$  and poles of multiplicity at least  $m + 1$ . For each pair  $(f, g) \in \mathcal{F}$ , if  $ff^{(k)}$  and  $gg^{(k)}$  share  $a(z)$  IM, then  $\mathcal{F}$  is normal in  $D$ .*

*Example 1.1.* Let  $D = \{z : |z| < 1\}$ . Let  $\mathcal{F} = \{f_n(z)\}$  where  $f_n(z) = e^{nz}$ ,  $z \in D$ ,  $n = 1, 2, \dots$ . Then for distinct positive integers  $n, l$ ,  $f_n f_n^{(k)}$  and  $f_l f_l^{(k)}$  share 0 IM, but  $\mathcal{F}$  fails to be normal at  $z = 0$ .

*Example 1.2.* Let  $D = \{z : |z| < 1\}$ . Let  $\mathcal{F} = \{f_n(z)\}$  where  $f_n(z) = \frac{z^4}{4(z^2 - \frac{1}{n})^2}$ ,  $z \in D$ ,  $n = 1, 2, \dots$ . Then  $f_n f_n'(z) = -\frac{z^7}{4n(z^2 - \frac{1}{n})^5}$ . Thus for distinct positive integers  $n, l$ ,  $f_n f_n'$  and  $f_l f_l'$  share 0 IM, but  $\mathcal{F}$  fails to be normal at  $z = 0$ , since  $f_n(0) = 0$  and  $f_n(\frac{1}{\sqrt{n}}) = \infty$ .

*Remark 1.1.* Examples 1.1 and 1.2 show the condition that  $a(z) (\neq 0)$  is necessary and Example 1.2 shows that the even number  $m$  is sharp in Theorem 1.6.

*Remark 1.2.* It seems reasonable to conjecture that the conclusion of Theorem 1.6 still holds when  $m$  is odd number.

Let us set some notations. we use  $\rightarrow$  to stand for convergence,  $\xrightarrow{X}$  to stand for spherical local uniform convergence in  $D \subset \mathbb{C}$ .

2. SOME LEMMAS

To prove Theorem 1.6, we require the following lemmas.

**Lemma 2.1.** [11] *Let  $\mathcal{F}$  be a family of functions meromorphic in the unit disc  $\Delta$ , all of whose zeros have multiplicity at least  $k$ ; Suppose that there exists  $A \geq 1$  such that  $|f^{(k)}(z)| \leq A$  whenever  $f(z) = 0$ . Then if  $\mathcal{F}$  is not normal at  $z_0 \in D$ , there exist, for each  $0 \leq \alpha \leq k$ ,*

- (i) *points  $z_n, z_n \rightarrow z_0, z_0 \in \Delta$ ,*
- (ii) *functions  $f_n \in \mathcal{F}$ , and*
- (iii) *positive numbers  $\rho_n \rightarrow 0^+$*

*such that  $\rho_n^{-\alpha} f_n(z_n + \rho_n \xi) = g_n(\xi) \rightarrow g(\xi)$  locally uniformly with respect to the spherical metric, where  $g(\xi)$  is a nonconstant meromorphic function on  $\mathbb{C}$ , all of whose zeros of  $g(\xi)$  are of multiplicity at least  $k$ , such that  $g^\#(\xi) \leq g^\#(0) = kA + 1$ . Moreover,  $g$  has order at most 2.*

**Lemma 2.2.** *Let function  $f(z)$  be meromorphic and transcendental in the plane, all of whose zeros have multiplicity  $k + 1$  at least, and  $a(z) (\neq 0)$  be a polynomial. Then the differential monomial  $f(z)f^{(k)}(z) - a(z)$  has infinitely zeros, where  $k$  is an integer number.*

*Proof.* Set

$$(2.1) \quad F := F(z) = f(z)f^{(k)}(z) - a(z)$$

and

$$(2.2) \quad F_1 := \frac{F}{a} = \frac{f(z)f^{(k)}(z)}{a(z)} - 1.$$

By differentiating the equation (2.2), we get  $f\beta = \frac{F_1'}{F_1}$  where

$$(2.3) \quad \beta = \frac{F_1' f^{(k)}}{F_1 a} - \left(\frac{1}{a}\right)' f^{(k)} - \frac{1}{a} \frac{f'}{f} f^{(k)} - \frac{1}{a} f^{(k+1)}.$$

Noting  $a(z) (\neq 0)$  is a polynomial and the zeros of  $f$  are of multiplicity at least  $k + 1$ , then  $N(r, \frac{1}{a}) = O(\log r)$  and  $N_k(r, \frac{1}{f}) = S(r, f)$ . As the preceding of proof of Lemma 2.2 from [12], we can get the conclusion of Lemma 2.2. □

**Lemma 2.3.** [13] *Let  $R = \frac{A}{B}$  be a rational function and  $B$  be non-constant. Let  $(R)_\infty = \deg(A) - \deg(B)$ , and  $k$  be a positive integer. Then  $(R^{(k)})_\infty \leq (R)_\infty - k$ .*

**Lemma 2.4.** *Let  $a(z)$  be a non-zero polynomial of degree  $m$ , and  $k$  be a positive integer. Let  $f$  be a non-constant rational function, all of whose zeros and poles (if exists) have multiplicity at least  $k + m + 1$  and  $m + 1$ , then the function  $ff^{(k)} - a(z)$  has at least one zero.*

*Proof.* We consider the following cases.

**Case 1.**  $f$  is a non-constant polynomial. Since  $f$  is a non-constant polynomial with zeros of multiplicity at least  $k + m + 1$ , then  $\deg(ff^{(k)}) \geq k + 2m + 2$ , thus  $\deg(ff^{(k)}) > \deg(a(z))$ , so the function  $ff^{(k)} - a(z)$  has at least one zero.

**Case 2.**  $f$  is a non-polynomial rational function. Write

$$(2.4) \quad f(z) = A \frac{(z - \alpha_1)^{m_1} (z - \alpha_2)^{m_2} \cdots (z - \alpha_s)^{m_s}}{(z - \beta_1)^{n_1} (z - \beta_2)^{n_2} \cdots (z - \beta_t)^{n_t}},$$

where  $A (\neq 0)$  is a constant and  $m_i \geq k + m + 1$ ;  $n_j \geq m + 1$  ( $i = 1, 2, \dots, s$ ;  $j = 1, 2, \dots, t$ ) are positive integers.

We put

$$(2.5) \quad M = m_1 + m_2 + \cdots + m_s \geq (k + m + 1)s,$$

$$(2.6) \quad N = n_1 + n_2 + \cdots + n_t \geq (m + 1)t.$$

From (2.4) we get

$$(2.7) \quad f^{(k)}(z) = \frac{(z - \alpha_1)^{m_1 - k} (z - \alpha_2)^{m_2 - k} \cdots (z - \alpha_s)^{m_s - k} g(z)}{(z - \beta_1)^{n_1 + k} (z - \beta_2)^{n_2 + k} \cdots (z - \beta_t)^{n_t + k}},$$

where  $g$  is a polynomial. From (2.4) and (2.7) we get

$$(2.8) \quad ff^{(k)}(z) = \frac{(z - \alpha_1)^{2m_1 - k} (z - \alpha_2)^{2m_2 - k} \cdots (z - \alpha_s)^{2m_s - k} g(z)}{(z - \beta_1)^{2n_1 + k} (z - \beta_2)^{2n_2 + k} \cdots (z - \beta_t)^{2n_t + k}}.$$

Suppose the function  $ff^{(k)} - a(z)$  has no zero, this is  $ff^{(k)} - a(z) \neq 0$ . Then we get from (2.8)

$$(2.9) \quad ff^{(k)}(z) = a(z) + \frac{B}{(z - \beta_1)^{2n_1 + k} (z - \beta_2)^{2n_2 + k} \cdots (z - \beta_t)^{2n_t + k}},$$

where  $B (\neq 0)$  is a constant. From (2.8) and (2.9) we obtain respectively

$$(2.10) \quad [ff^{(k)}(z)]^{(m+1)} = \frac{(z - \alpha_1)^{2m_1 - k - m - 1} (z - \alpha_2)^{2m_2 - k - m - 1} \cdots (z - \alpha_s)^{2m_s - k - m - 1} g_1(z)}{(z - \beta_1)^{2n_1 + k + m + 1} (z - \beta_2)^{2n_2 + k + m + 1} \cdots (z - \beta_t)^{2n_t + k + m + 1}}$$

and

$$(2.11) \quad [ff^{(k)}(z)]^{(m+1)} = \frac{g_2(z)}{(z - \beta_1)^{2n_1 + k + m + 1} (z - \beta_2)^{2n_2 + k + m + 1} \cdots (z - \beta_t)^{2n_t + k + m + 1}},$$

where  $g_1, g_2$  are polynomials. From (2.4) and (2.7) we get  $(f)_\infty = M - N$  and  $(f^{(k)})_\infty = M - N - k(s + t) + \deg(g)$ . Since by Lemma 2.3  $(f^{(k)})_\infty \leq (f)_\infty - k$ , then  $\deg(g) \leq k(s + t - 1)$ . From (2.8) and (2.10) we obtain  $(ff^{(k)})_\infty = 2(M - N) - k(s + t) + \deg(g)$  and

$$(ff^{(k)})_\infty^{(m+1)} = 2(M - N) - k(m + 1)(s + t) + \deg(g_1).$$

By Lemma 2.3, we get  $\deg(g_1) \leq (k + m + 1)(s + t - 1)$ .

From (2.9) and (2.11) we get  $(ff^{(k)})_\infty = -2N - kt$  and  $(ff^{(k)})_\infty^{(m+1)} = \deg(g_1) - 2N - (k + m + 1)t$ . By Lemma 2.3, we obtain  $\deg(g_2) \leq (m + 1)(t - 1)$ .

From (2.1) and (2.11) we see that

$$(z - \alpha_1)^{2m_1 - k - m - 1} (z - \alpha_2)^{2m_2 - k - m - 1} \dots (z - \alpha_s)^{2m_s - k - m - 1}$$

is a factor of  $g_2$ . Then  $2M - (k + m + 1)s \leq \deg(g_2) \leq (m + 1)(t - 1)$ , which implies  $2M \leq (k + m + 1)s + (m + 1)(t - 1)$ . From (2.5) and (2.6) we obtain  $2M \leq M + N - (m + 1)$ . This implies

$$(2.12) \quad M < N.$$

From (2.8) and (2.9) we can get

$$2N + kt + m = 2M - ks + \deg(g) \leq 2M - ks + k(s + t - 1),$$

which implies  $2N \leq 2M - k - m$ , this is  $N < M$ , which contradicts (2.12). This proves Lemma 2.4. □

**Lemma 2.5.** *Let  $a(z)$  be a non-zero polynomial of degree  $m$ , and  $k$  be a positive integer. Let  $f$  be a non-constant rational function, all of whose zeros and poles (if exists) have multiplicity at least  $k + m + 1$  and  $m + 1$ , then the function  $ff^{(k)} - a(z)$  has at least two zeros.*

*Proof.* By lemma 2.4, we deduce that the function  $ff^{(k)} - a(z)$  has at least one zero. Suppose, to the contrary, the function  $ff^{(k)} - a(z)$  has exactly one root.

First we suppose that  $f$  is a non-constant polynomial. We set  $ff^{(k)} - a(z) = C(z - z_0)^n$ , where  $C (\neq 0)$  is a constant and  $n$  is a positive integer satisfying  $n \geq k + 2 + 2m \geq 2m + 3$ . Then

$$[ff^{(k)} - a(z)]^{(m+1)} = [ff^{(k)}]^{(m+1)} = Cn(n - 1) \dots (n - m)(z - z_0)^{n - m - 1}.$$

So  $[ff^{(k)}]^{(m+1)}$  has exactly one zero at  $z_0$ . Since  $f$  is a non-constant polynomial with zeros of multiplicity at least  $k + m + 1$ , then  $z_0$  is a zero of  $f$ , it follows that  $[ff^{(k)}]^{(m)}(z_0) = 0$ . Noting that

$$[ff^{(k)} - a(z)]^{(m)} = [ff^{(k)}]^{(m)} - [a(z)]^{(m)} = Cn(n - 1) \dots (n - m + 1)(z - z_0)^{n - m}.$$

Then  $[a(z_0)]^{(m)} = 0$ , which is a contradiction, since  $a(z)$  is a non-zero polynomial with  $\deg(a(z)) = m$ . Therefore  $f$  is a non-polynomial rational function, We can express  $f$  by (2.4) again. Since the function  $ff^{(k)} - a(z)$  has exactly one zero, we get from (2.8)

$$(2.13) \quad ff^{(k)}(z) = a(z) + \frac{D(z - z_0)^l}{(z - \beta_1)^{2n_1 + k}(z - \beta_2)^{2n_2 + k} \dots (z - \beta_t)^{2n_t + k}}.$$

where  $D(\neq 0)$  is a constant and  $l$  is a positive integer.

We consider the following two cases.

**Case 1.**  $m \geq l$ . From (2.8) and (2.13) we can get

$$2N + kt + m = 2M - ks + \deg(g) \leq 2M - ks + k(s + t - 1).$$

which implies  $2N \leq 2M - k - m$ , that is  $N < M$ , From (2.13) we obtain

$$(2.14) \quad [ff^{(k)}(z)]^{(m+1)} = \frac{g_3(z)}{(z - \beta_1)^{2n_1+k+m+1}(z - \beta_2)^{2n_2+k+m+1} \dots (z - \beta_t)^{2n_t+k+m+1}}.$$

where  $g_3$  are polynomials with  $\deg(g_3) \leq (m + 1)t - (m - l + 1)$ .

From (2.10) and (2.14) we see that

$$(z - \alpha_1)^{2m_1-k-m-1}(z - \alpha_2)^{2m_2-k-m-1} \dots (z - \alpha_s)^{2m_s-k-m-1}$$

is a factor of  $g_3$ . Then  $(m + 1)t - (m - l + 1) \geq 2M - (k + m + 1)s$ . From (2.5) and (2.6) we get  $M \leq N - (m - l + 1)s$ . Then  $M \leq N - (m - l + 1)s < M - (m - l + 1)$ . This implies  $m < l - 1$ , a contradiction.

**Case 2.**  $m < l$ . From (2.13) we get

$$(2.15) \quad [ff^{(k)}(z)]^{(m+1)} = \frac{D(z - z_0)^{l-m-1}g_4(z)}{(z - \beta_1)^{2n_1+k+m+1}(z - \beta_2)^{2n_2+k+m+1} \dots (z - \beta_t)^{2n_t+k+m+1}}.$$

where  $g_4$  are polynomials with  $\deg(g_4) \leq (m + 1)t$ .

Since  $\alpha_i \neq z_0$  for  $i = 1, 2, \dots, s$ , from (2.10) and (2.15) we see that

$$(z - \alpha_1)^{2m_1-k-m-1}(z - \alpha_2)^{2m_2-k-m-1} \dots (z - \alpha_s)^{2m_s-k-m-1}$$

is a factor of  $g_4$ . Therefore  $2M - ks - (m + 1)s \leq \deg(g_4) \leq (m + 1)t$ , then from (2.5) and (2.6) we can deduce  $M \leq N$ .

Now we consider the following subcases.

**Subcase 2.1.** Let  $l \neq 2N + kt + m$ . From (2.8) and (2.13) we obtain  $2N + kt + m \leq 2M - ks + \deg(g) \leq 2M + k(t - 1)$ , then  $2N \leq 2M - k - m$ , which implies  $N < M$ , a contradiction.

**Subcase 2.2.** Let  $l = 2N + kt + m$ . If  $N < M$ , then proceeding as case 1 we arrive at a contradiction. So  $M \leq N$ . Since  $\alpha_i \neq z_0$  for  $i = 1, 2, \dots, s$ , from (2.10) and (2.15) we see that  $(z - z_0)^{l-m-1}$  is a factor of  $g_1$ . Thus  $l - m - 1 \leq \deg(g_1) \leq (k + m + 1)(s + t - 1)$ , then  $2N + kt + m = l \leq (k + m + 1)(s + t - 1) + m + 1$ . From (2.5) and (2.6) we can deduce  $2N \leq M + N - k \leq 2N - k$ , which implies  $-k \geq 0$ , a contradiction. This proves Lemma 2.5. □

### 3. PROOF OF THEOREM 1.6.

*Proof.* For any point  $z_0 \in D$ , either  $a(z_0) = 0$  or  $a(z_0) \neq 0$ . We consider two cases.

**Case 1.**  $a(z_0) \neq 0$ . Suppose that  $\mathcal{F}$  is not normal at  $z_0 \in D$ . Let  $\alpha = \frac{k}{2}$ . Then by Lemma 2.1, there exists a sequence of complex numbers  $z_n \rightarrow z_0$ , a sequence of functions  $f_n \in \mathcal{F}$  and a sequence of positive numbers  $\rho_n \rightarrow 0^+$  such that

$$h_n(\xi) = \rho_n^{-\frac{k}{2}} f_n(z_n + \rho_n \xi) \xrightarrow{X} h(\xi),$$

where  $h(\xi)$  is a non-constant meromorphic functions in  $\mathbb{C}$ . Also the order of  $h(\xi)$  does not exceed 2 and by Hurwitz's theorem  $h(\xi)$  has no zero of mulitiplicity less than  $k + m + 1$ .

On every compact subset of  $\mathbb{C}$  which contains no poles of  $h$ , we have

$$h_n(\xi)h_n^{(k)}(\xi) - a(\xi) = f_n(z_n + \rho_n\xi)f_n^{(k)}(z_n + \rho_n\xi) - a(z_n + \rho_n\xi) \xrightarrow{X} h(\xi)h^{(k)}(\xi) - a(z_0).$$

If  $hh^{(k)} \equiv a(z_0)$ , then  $h$  has no poles and zeros, and thus  $h$  is entire function. Noting that  $\frac{1}{h^2(\xi)} \equiv \frac{1}{a(z_0)} \frac{h^{(k)}(\xi)}{h(\xi)}$ , thus

$$2T(r, h) = 2m(r, h) \leq \log^+ \frac{1}{|a(z_0)|} + m(r, \frac{h^{(k)}}{h}) = o\{T(r, h)\}(r \rightarrow \infty),$$

which is impossible. Hence  $hh^{(k)} \not\equiv a(z_0)$ .

By lemmas 2.2 and 2.5, the function  $hh^{(k)} - a(z_0)$  has at least two distinct zeros  $\xi_0, \xi_0^*$ , say. We choose a small  $\delta > 0$  such that  $D_1 \cap D_2 = \emptyset$  and  $hh^{(k)} - a(z_0)$  has no other zeros in  $D_1 \cup D_2$  except for  $\xi_0$  and  $\xi_0^*$ , where  $D_1 = \{\xi : |\xi - \xi_0| < \delta\}$  and  $D_2 = \{\xi : |\xi - \xi_0^*| < \delta\}$ . By Hurwitz's theorem, there exit points  $\xi_n \in D_1$  and  $\xi_n^* \in D_2$  such that

$$f_n(z_n + \rho_n\xi_n)f_n^{(k)}(z_n + \rho_n\xi_n) - a(z_n + \rho_n\xi_n) = 0$$

and

$$f_n(z_n + \rho_n\xi_n^*)f_n^{(k)}(z_n + \rho_n\xi_n^*) - a(z_n + \rho_n\xi_n^*) = 0$$

for sufficiently large  $n$ .

By the assumption of Theorem 1.6, we see that for any integer  $l$  and for all  $n$  we get

$$f_l(z_n + \rho_n\xi_n)f_l^{(k)}(z_n + \rho_n\xi_n) - a(z_n + \rho_n\xi_n) = 0$$

and

$$f_l(z_n + \rho_n\xi_n^*)f_l^{(k)}(z_n + \rho_n\xi_n^*) - a(z_n + \rho_n\xi_n^*) = 0.$$

Fix  $l$  and take  $n \rightarrow \infty$ , and note  $z_n + \rho_n\xi_n \rightarrow z_0, z_n + \rho_n\xi_n^* \rightarrow z_0$ , then

$$f_l(z_0)f_l^{(k)}(z_0) - a(z_0) = 0.$$

Since the zeros of  $ff^{(k)} - a(\xi)$  has no accumulation point, so for sufficiently large  $n$  we get  $z_n + \rho_n\xi_n = z_0, z_n + \rho_n\xi_n^* = z_0$ . Hence,  $\xi_n = \xi_n^* = \frac{z_0 - z_n}{\rho_n}$ .

This contradicts with  $\xi_n \in D_1$  and  $\xi_n^* \in D_2$  and  $D_1 \cap D_2 = \emptyset$ . Thus  $\mathcal{F}$  is normal at  $z_0$ .

**Case 2.**  $a(z_0) = 0$ . Let  $z_0 = 0, D = \Delta = \{z : |z| < 1\}$  and  $a(z) = z^m + a_{m+1}z^{m+1} + \dots = z^m\phi(z), \phi(0) = 1, \phi(z) \neq 1, z \in \{z : 0 < |z| < 1\}$ .

Since  $m$  is an even number, then we obtain a new family as follows

$$\mathcal{F}_1 = \{F := F(z) = \frac{f(z)}{z^{\frac{m}{2}}}, f \in \mathcal{F}\}.$$

Suppose that  $\mathcal{F}_1$  is not normal at  $z_0 = 0$ . Then by Lemma 2.1, there exists a sequence of complex numbers  $z_n \rightarrow 0$ , a sequence of functions  $F_n \in \mathcal{F}_1$  and a sequence of positive numbers  $\rho_n \rightarrow 0^+$  such that

$$h_n(\xi) = \rho_n^{-\frac{k}{2}} F_n(z_n + \rho_n \xi) \xrightarrow{X} h(\xi),$$

where  $h(\xi)$  is a non-constant meromorphic functions in  $\mathbb{C}$ . Also the order of  $h(\xi)$  does not exceed 2 and by Hurwitz's theorem  $h(\xi)$  has no zero of multiplicity less than  $k + m + 1$ .

Now we distinguish the following subcases.

**Subcase 2.1.**  $\frac{z_n}{\rho_n} \rightarrow \infty$ . By simple calculation, we have

$$\begin{aligned} f_n^{(k)}(z) &= z^{\frac{m}{2}} F_n^{(k)}(z) + \sum_{l=1}^k C_l^l (z^{\frac{m}{2}})^{(l)} F_n^{(k-l)}(z) \\ &= z^{\frac{m}{2}} F_n^{(k)}(z) + \sum_{l=1}^k C_l z^{\frac{m}{2}-l} F_n^{(k-l)}(z), \end{aligned}$$

where

$$C_l = \begin{cases} \frac{m}{2}(\frac{m}{2} - 1) \cdots (\frac{m}{2} - l + 1), & l \leq \frac{m}{2} \\ 0, & l > \frac{m}{2}. \end{cases}$$

Since  $f_n(z) = z^{\frac{m}{2}} F_n(z)$ , then we have

$$\begin{aligned} f_n(z) f_n^{(k)}(z) &= f_n(z) z^{\frac{m}{2}} F_n^{(k)}(z) + f_n(z) \sum_{l=1}^k C_l^l (z^{\frac{m}{2}})^{(l)} F_n^{(k-l)}(z) \\ &= z^m F_n(z) F_n^{(k)}(z) + z^{\frac{m}{2}} F_n(z) \sum_{l=1}^k C_l z^{\frac{m}{2}-l} F_n^{(k-l)}(z), \end{aligned}$$

and

$$\begin{aligned} \frac{f_n(z) f_n^{(k)}(z)}{z^m} &= F_n(z) F_n^{(k)}(z) + \sum_{l=1}^k C_l \frac{F_n(z) F_n^{(k-l)}(z)}{z^l}, \\ \frac{f_n(z) f_n^{(k)}(z)}{a(z)} &= \left[ F_n(z) F_n^{(k)}(z) + \sum_{l=1}^k C_l \frac{F_n(z) F_n^{(k-l)}(z)}{z^l} \right] \frac{1}{\phi(z)}. \end{aligned}$$

Noting that  $h_n^{(k-l)}(\xi) = \rho_n^{\frac{k}{2}} F_n^{(k-l)}(z_n + \rho_n \xi)$ ,  $l = 0, 1, \dots, k$ , then

$$(3.1) \quad \begin{aligned} &\frac{f_n(z_n + \rho_n \xi) f_n^{(k)}(z_n + \rho_n \xi)}{a(z_n + \rho_n \xi)} = \\ &\left[ h_n(\xi) h_n^{(k)}(\xi) + \sum_{l=1}^k C_l \frac{h_n(\xi) h_n^{(k-l)}(\xi)}{(\frac{z_n}{\rho_n} + \xi)^l} \right] \frac{1}{\phi(z_n + \rho_n \xi)}. \end{aligned}$$



On the other hand, we have

$$(3.2) \quad \lim_{n \rightarrow \infty} \frac{C_l}{\left(\frac{z_n}{\rho_n} + \xi\right)^l} = 0, \quad \lim_{n \rightarrow \infty} \frac{1}{\phi(z_n + \rho_n \xi)} = 1.$$

From (3.1) and (3.2) we get

$$\frac{f_n(z_n + \rho_n \xi) f_n^{(k)}(z_n + \rho_n \xi)}{a(z_n + \rho_n \xi)} \xrightarrow{X} h(\xi) h^{(k)}(\xi),$$

on a every compact subset of  $\mathbb{C}$  which contains no poles of  $h(\xi)$ . By lemmas 2.2 and 2.5. With similar discussion to the proof of Case 1, we can get a contradiction.

**Subcase 2.2.**  $\frac{z_n}{\rho_n} \rightarrow \alpha, \alpha \in \mathbb{C}$ . Then we have

$$\frac{F_n(\rho_n \xi)}{\rho_n^{\frac{k}{2}}} = \frac{F_n(z_n + \rho_n(\xi - \frac{z_n}{\rho_n}))}{\rho_n^{\frac{k}{2}}} \xrightarrow{X} h(\xi - \alpha),$$

on every compact subset of  $\mathbb{C}$  which contains no poles of  $h(\xi - \alpha)$ . Clearly, all zeros of  $h(\xi - \alpha)$  have multiplicity at least  $k + m + 1$ , and  $\xi = 0$  is a pole of  $h(\xi - \alpha)$  with multiplicity at least  $\frac{m}{2}$ . Set

$$G_n(\xi) := \frac{f_n(\rho_n \xi)}{\rho_n^{\frac{k+m}{2}}} = \frac{F_n(\rho_n \xi)}{\rho_n^{\frac{k}{2}}} = \frac{F_n(\rho_n \xi)}{\rho_n^{\frac{k}{2}}} \frac{(\rho_n \xi)^{\frac{m}{2}}}{\rho_n^{\frac{m}{2}}} \xrightarrow{X} \xi^{\frac{m}{2}} h(\xi - \alpha) = G(\xi),$$

on every compact subset of  $\mathbb{C}$  which contains no poles of  $G(\xi)$ .

Clearly,  $G(\xi)$  is a non-constant meromorphic function, which have multiple zeros at least  $k + m + 1$ . Since  $\xi = 0$  is a pole of  $h(\xi - \alpha)$  with multiplicity at least  $\frac{m}{2}$ , then  $G(0) \neq 0$ . Thus we have

$$G_n(\xi_n) G_n^{(k)}(\xi_n) - \frac{a(\rho_n \xi_n)}{\rho_n^m} \xrightarrow{X} G(\xi) G^{(k)}(\xi) - \xi^m.$$

With similar discussion to the proof of Case 1, we can conclude  $G(\xi) G^{(k)}(\xi) - \xi^m \neq 0$ . It follows from lemmas 2.2 and 2.5 that  $G(\xi) G^{(k)}(\xi) - \xi^m$  has two distinct zeros at least. By the similar arguments in Case 1, we obtain a contradiction.

Hence  $\mathcal{F}_1$  is normal at  $z_0 = 0$ .

It remains to show that  $\mathcal{F}$  is normal at  $z_0 = 0$ . For  $f_n(z) \in \mathcal{F}$ , let  $F_n(z) = \frac{f_n(z)}{z^{\frac{m}{2}}}$ , then  $\{F_n(z)\} \subset \mathcal{F}_1$ . Since  $\mathcal{F}_1$  is normal at  $z_0 = 0$ , then there exists  $\Delta_\delta = \{z : |z| < \delta\}$  and a subsequence of  $\{F_n(z)\}$  (still express it as  $\{F_n(z)\}$ ) such that  $\{F_n(z)\}$  converges spherically locally uniformly to a meromorphic function  $F(z)$  or  $\infty$ .

Here, we discuss the following two cases.

**Case A.** When  $n$  is large enough,  $f_n(z) \neq 0$ . Then  $F(0) = \infty$ , then we have  $\delta_1 > 0$  such that  $F_n(z) \geq 1$  for each  $z \in \Delta(0, \delta_1)$ . So  $\frac{1}{f_n}$  is a holomorphic function in  $\Delta(0, \delta_1)$ . Thus when  $z = \frac{\delta_1}{2}$ , we get

$$\left| \frac{1}{f_n(z)} \right| = \left| \frac{1}{F_n(z)} \frac{1}{z^{\frac{m}{2}}} \right| \leq \left( \frac{2}{\delta_1} \right)^{\frac{m}{2}}.$$

By the maximum principle and Montel's theorem, there exists subsequence of  $\{f_n(z)\}$  (still express it as  $\{f_n(z)\}$ ) converges spherically locally uniformly.

Therefore  $\mathcal{F}$  is normal at  $z_0 = 0$ .

**Case B.**  $f_n(z) = 0$ . Then we get  $F(0) = 0$ , since  $F_n(z) = \frac{f_n(z)}{z^{\frac{m}{2}}} \xrightarrow{X} F(z)$ , and hence there exists a positive number  $r$  with  $0 < r < \delta$  such that  $F(z)$  is holomorphic in  $\Delta_r$  and has a unique zero  $z = 0$  in  $\Delta_r$ . Therefore, we have  $f_n(z) \Rightarrow z^{\frac{m}{2}} F(z)$  in  $\Delta_r$  since  $F_n(z)$  converges spherically locally uniformly to a holomorphic function  $F(z)$  in  $\Delta_r$ . Thus  $\mathcal{F}$  is normal at  $z_0 = 0$ .

These shows that  $\mathcal{F}$  is normal in  $D$ . □

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